

NON-ABELIAN THETA FUNCTIONS A LA ANDRÉ WEIL

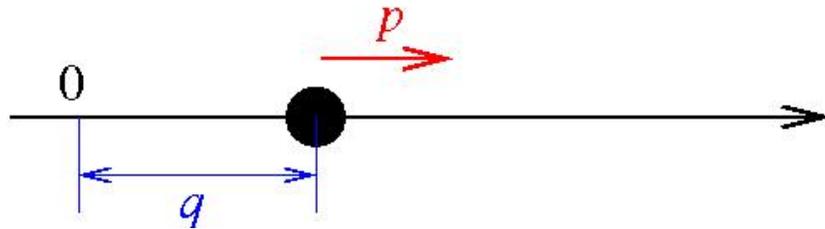
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WE WILL SHOW THAT THE CONSTRUCTS OF THE THEORY OF CLASSICAL THETA FUNCTIONS IN THE REPRESENTATION THEORETIC POINT OF VIEW OF ANDRÉ WEIL HAVE ANALOGUES FOR THE NON-ABELIAN THETA FUNCTIONS OF THE WITTEN-RESHETIKHIN-TURAEV THEORY

THE PROTOTYPE:

- the *Schrödinger representation*
- the *metaplectic representation*

They arise when quantizing a free 1-dimensional particle ($\hbar = 1$).



Phase space has coordinates q : *position*, p : *momentum*.

Quantization: phase space $\mapsto L^2(\mathbb{R})$,

$$q \mapsto Q = \text{multiplication by } q,$$

$$p \mapsto P = \frac{1}{i} \frac{d}{dq}.$$

Add to this the operator $E = Id$.

Heisenberg uncertainty principle:

$$QP - PQ = i\hbar E$$

Weyl quantization:

$$\hat{f}(\xi, \eta) = \iint f(x, y) \exp(-2\pi i x \xi - 2\pi i y \eta) dx dy$$

and then defining

$$Op(f) = \iint \hat{f}(\xi, \eta) \exp 2\pi i(\xi Q + \eta P) d\xi d\eta.$$

Notation:

$$\exp(xP + yQ + tE) = e^{2\pi i(xP + yQ + tE)}.$$

EXAMPLE:

$$\begin{aligned} \exp(x_0 P) \phi(x) &= \phi(x + x_0), \\ \exp(y_0 Q) \phi(x) &= e^{2\pi i x y_0} \phi(x). \end{aligned}$$

The elements $\exp(xP + yQ + tE)$, $x, y, t \in \mathbb{R}$ form the Heisenberg group with real entries $\mathbf{H}(\mathbb{R})$:

$$\begin{aligned} & \exp(xP + yQ + tE) \exp(x'P + y'Q + t'E) \\ &= \exp\left[(x + x')P + (y + y')Q + \left(t + t' + \frac{1}{2}(xy' - yx')\right)E\right] \end{aligned}$$

The action of $\mathbf{H}(\mathbb{R})$ on $L^2(\mathbb{R})$ is called the *Schrödinger representation*.

THEOREM (Stone-von Neumann) The Schrödinger representation is the *unique* irreducible unitary representation of $\mathbf{H}(\mathbb{R})$ that maps $\exp(tE)$ to multiplication by $e^{2\pi it}$ for all $t \in \mathbb{R}$.

COROLLARY Linear (symplectic) changes of coordinates can be quantized.

Recall that the linear maps that preserve the classical mechanics are

$$SL(2, \mathbb{R}) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix}, \quad ad - bc = 1 \right\}.$$

If $h \in SL(2, \mathbb{R})$, and $h(x, y) = (x', y')$ then

$$\exp(xP + yQ + tE) \circ \phi = \exp(x'P + y'Q + tE)\phi$$

is another representation, which by the Stone-von Neumann theorem is unitary equivalent to the Schrödinger representation.

Hence there is a unitary map $\rho(h) : L^2(\mathbb{R}) \rightarrow L^2(\mathbb{R})$ such that the **exact Egorov identity** is satisfied:

$$\exp(x'P + y'Q + tE) = \rho(h) \exp(xP + yQ + tE) \rho(h)^{-1}.$$

The map $h \rightarrow \rho(h)$ is a projective representation of $SL(2, \mathbb{R})$ on $L^2(\mathbb{R})$. One can make this into a true representation by passing to a double cover $M(2, \mathbb{R})$ of $SL(2, \mathbb{R})$. This is the **metaplectic representation**.

EXAMPLE: If

$$S = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}, \quad T = \begin{pmatrix} 1 & a \\ 0 & 1 \end{pmatrix}$$

then

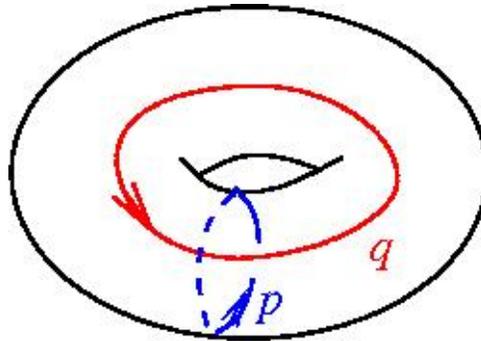
$$\begin{aligned} \rho(S)\phi(x) &= \int_{\mathbb{R}} \phi(y) e^{-2\pi i x y} dy \\ \rho(T)\phi(x) &= e^{2\pi i x^2 a} \phi(x). \end{aligned}$$

*The metaplectic representation can be interpreted as a general **Fourier transform**. It is a **Fourier-Mukai transform**.*

*In general, a **Heisenberg group** is a $U(1)$ (or cyclic) extension of a locally compact abelian group. It has an associated **Schrödinger representation** and **Fourier-Mukai transform**. The two are related by the **exact Egorov identity**.*

A. Weil's representation theoretic point of view:

Weyl quantization of a particle with **periodic** position and momentum ($\hbar = 1/N$, N an even integer).



Quantization: phase space \mapsto space of *theta functions* which has an orthonormal basis consisting of the *theta series*

$$\theta_j(z) = \sum_{n \in \mathbb{Z}} e^{2\pi i N \left[\frac{i}{2} \left(\frac{j}{N} + n \right)^2 + z \left(\frac{j}{N} + n \right) \right]}, \quad j = 0, 1, 2, \dots, N-1.$$

The quantizations of the exponential functions on the torus generate a **finite Heisenberg group** $\mathbf{H}(\mathbb{Z}_N)$ which is a \mathbb{Z}_{2N} -extension of $\mathbb{Z}_N \times \mathbb{Z}_N$:

$$\exp(pP + qQ + kE)\theta_j = e^{-\frac{\pi i}{N}pq - \frac{2\pi i}{N}jq + \frac{\pi i}{N}k}\theta_{j+p}, \quad p, q, k \in \mathbb{Z}.$$

THEOREM (Stone-von Neumann) The Schrödinger representation of $\mathbf{H}(\mathbb{Z}_N)$ is the **unique** irreducible unitary representation of this group with the property that $\exp(kE)$ acts as $e^{\frac{\pi i}{N}k} Id$ for all $k \in \mathbb{Z}$.

The linear maps on the torus that preserve classical mechanics are

$$SL(2, \mathbb{Z}) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix}, \quad a, b, c, d \in \mathbb{Z}, ad - bc = 1 \right\},$$

i.e. **the mapping class group of the torus**.

COROLLARY There is a **projective representation** ρ of the mapping class group of the torus on the space of theta functions that satisfies the exact Egorov identity

$$\exp(p'P + q'Q + kE) = \rho(h) \exp(pP + qQ + kE) \rho(h)^{-1}$$

where $(p', q') = h(p, q)$. Moreover, for every h , $\rho(h)$ is unique up to multiplication by a constant.

This action of the mapping class group is called the **Hermite-Jacobi** action.

Non-abelian theta functions for the group $SU(2)$

Arise from the quantization of the *moduli space of flat $su(2)$ -connections on a genus g surface Σ* ($\hbar = \frac{1}{2N}$, $N = 2r$, r an integer).

$$\begin{aligned}\mathcal{M}_g^{SU(2)} &= \{A \mid A : su(2) - \text{connection}\} / \mathcal{G} \\ &= \{\rho : \pi_1(\Sigma) \longrightarrow SU(2)\} / \text{conjugation}\end{aligned}$$

Quantization: $\mathcal{M}_g^{SU(2)} \mapsto$ space of non-abelian theta functions.

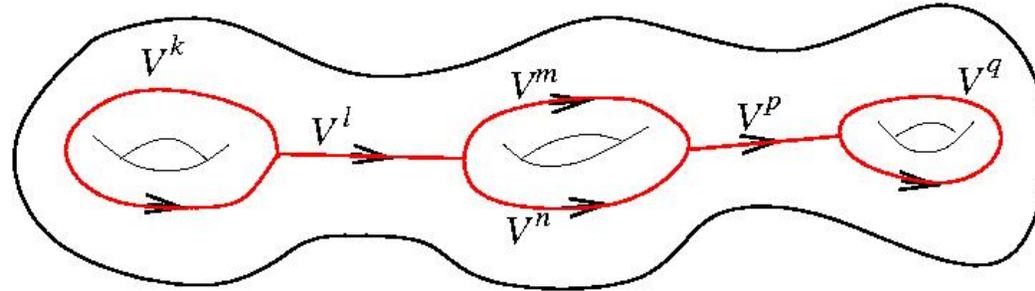
Non-abelian *theta series* can be parametrized by *coloring* the core of a genus g handlebody by *irreducible representations of $U_{\hbar}(SL(2, \mathbb{C}))$* , the quantum group of $SU(2)$.

$U_{\hbar}(SL(2, \mathbb{C}))$ has irreducible representations V^1, V^2, \dots, V^{r-1} . They satisfy a Clebsch-Gordan theorem

$$V^m \otimes V^n = \bigoplus_p V^p$$

where $|m - n| + 1 \leq p \leq \min(m + n - 1, 2r - 2 - m - n)$.

The *theta series* are the colorings of the core by V^1, V^2, \dots, V^{r-1} such that at each vertex the conditions from the Clebsch-Gordan theorem are satisfied.

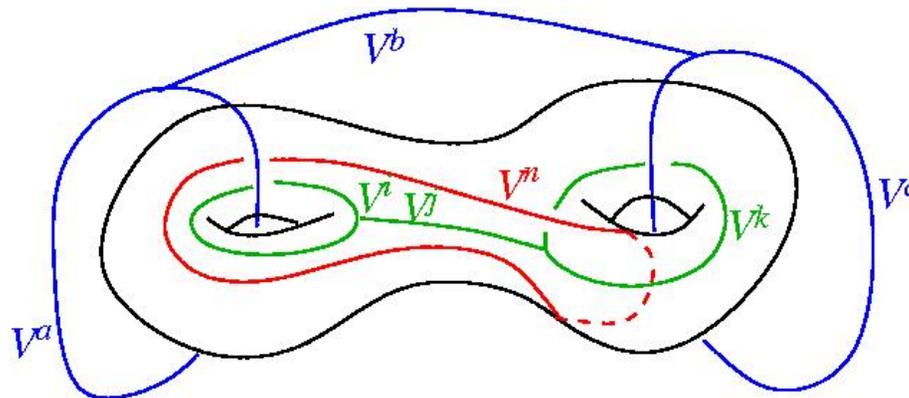


The analogues of the exponentials are the *Wilson lines*

$$W_{\gamma,n}(A) = \text{tr}_{V^n} \text{hol}_{\gamma}(A)$$

where γ is a simple closed curve on the surface.

The *operator* associated to $W_{\gamma,n}$, denoted by $op(W_{\gamma,n})$, has a matrix whose “entries” are the Reshetikhin-Turaev invariants of diagrams of the form:



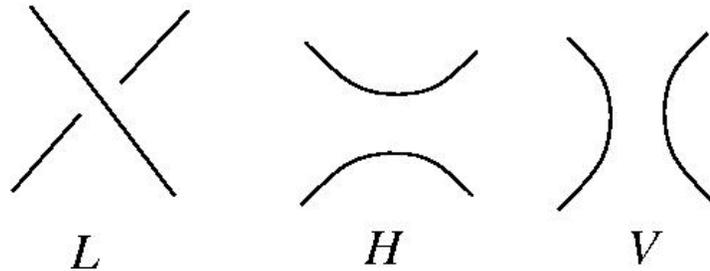
The Reshetikhin-Turaev theory yields a *projective representation* ρ of the *mapping class group* of the surface on the space of non-abelian theta functions.

This projective representation satisfies the following relation with the quantizations of Wilson lines

$$\text{op}(W_{h(\gamma),n}) = \rho(h) \text{Op}(W_{\gamma,n}) \rho(h)^{-1}$$

which is an *exact Egorov identity*.

Skein modules! There is an easy way to see these using skein modules.



The skein relations are those of the Reshetikhin-Turaev version of the Jones polynomial:

$$L = tH + t^{-1}V \text{ or } L = \epsilon(tH - t^{-1}V)$$

depending on whether the two crossing strands come from different components or not, where ϵ is the sign of the crossing. Set also the trivial knot equal to $t^2 + t^{-2}$.

Let $RT_t(M)$ be the Reshetikhin-Turaev skein module of the 3-manifold M , obtained by factoring the free module with basis the isotopy classes of framed links in M by these relations.

As a module, $RT_t(M)$ is isomorphic to the Kauffman bracket of the manifold, but...

If $M = \Sigma \times [0, 1]$ then $RT_t(\Sigma \times [0, 1])$ is an *algebra*:

$$\Sigma \times [0, 1] \cup \Sigma \times [0, 1] \approx \Sigma \times [0, 1].$$

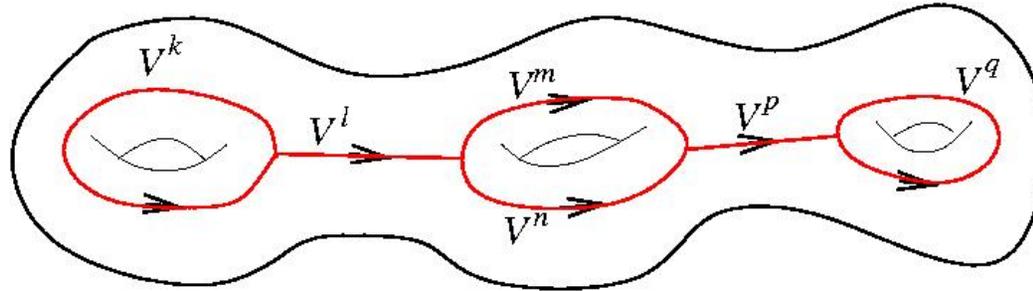
This algebra is not isomorphic to the Kauffman bracket skein algebra.

If M has a boundary, then $RT_t(M)$ is a $RT_t(\partial M \times [0, 1])$ -*module*:

$$\partial M \times [0, 1] \cup M \approx M.$$

The *reduced* RT skein module of M , denoted by $\widetilde{RT}_t(M)$ is obtained by factoring $RT_t(M)$ by $t = e^{\frac{i\pi}{2r}}$ and $f_{r-1} = 0$ where f_{r-1} is the $r - 1$ st Jones-Wenzl idempotent.

The space of *non-abelian theta functions* of a genus g surface Σ_g is $\widetilde{RT}_t(H_g)$ where H_g is the genus g handlebody.



The skein theoretic versions of *non-abelian theta series* are obtained by replacing

$$V^k \rightarrow f_{k-1}.$$

Algebra generated by *quantized Wilson lines* is isomorphic to $\widetilde{RT}_t(\Sigma_g \times [0, 1])$ with the isomorphism given by

$$Op(W_{\gamma,2}) \mapsto \gamma.$$

The action of *operators on non-abelian theta functions* coincides with the action of $\widetilde{RT}_t(\Sigma_g \times [0, 1])$ on $\widetilde{RT}_t(H_g)$.

Our paradigm: There are the following analogies

At the level of the vector space

a. $L^2(\mathbb{R})$

b. classical theta functions

c. non-abelian theta functions

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At the level of quantum observables

a. The group algebra of the Heisenberg group $\mathbf{H}(\mathbb{R})$

b. The group algebra of the finite Heisenberg group $\mathbf{H}(\mathbb{Z}_N)$

c. The algebra generated by quantized Wilson lines $op(W_{\gamma,n})$

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At the level of quantized changes of coordinates

a. The metaplectic representation (i.e. the Fourier transform)

b. The Hermite-Jacobi action (i.e. the discrete Fourier transform)

c. The Reshetikhin-Turaev representation

For the exact Egorov identity

a. The exact Egorov identity for the metaplectic representation

$$\exp(x'P + y'Q + tE) = \rho(h) \exp(xP + yQ + tE) \rho(h)^{-1}.$$

where $h(x, y) = (x', y')$.

b. The exact Egorov identity for the Hermite-Jacobi action

$$\exp(p'P + q'Q + kE) = \rho(h) \exp(pP + qQ + kE) \rho(h)^{-1}$$

where $(p', q') = h(p, q)$.

c. The exact Egorov identity for the Reshetikhin-Turaev representation

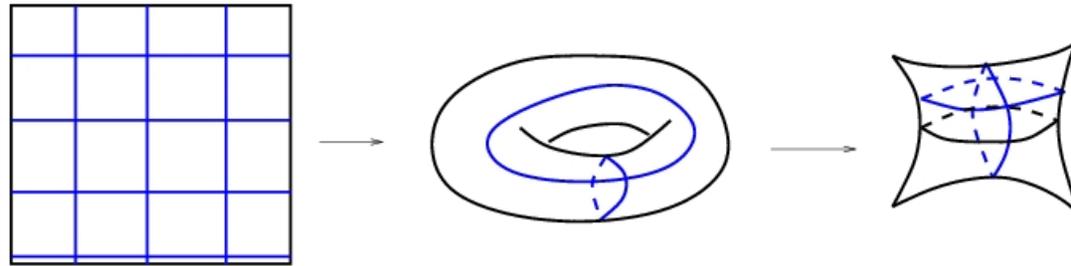
$$\text{op}(W_{h(\gamma),n}) = \rho(h) \text{Op}(W_{\gamma,n}) \rho(h)^{-1}$$

Applications of this point of view:

- 1. The quantum group quantization of Wilson lines determines the Reshetikhin-Turaev representation.*
- 2. Andersen, Freedman-Wang: Proof of the asymptotic faithfulness of the Reshetikhin-Turaev representation.*

3. *The case of the torus:*

The moduli space is the *pillow case*:



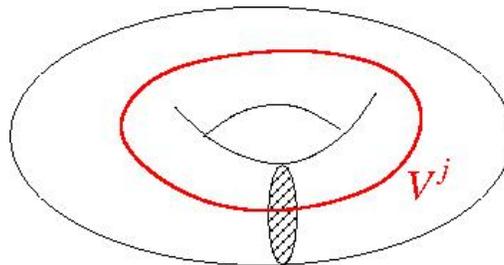
A basis of the space of non-abelian theta functions is

$$\zeta_j(z) = (\theta_j(z) - \theta_{-j}(z)), \quad j = 1, 2, \dots, r - 1,$$

where θ_j are the theta series

$$\theta_j(z) = \sum_{n \in \mathbb{Z}} e^{2\pi i 2r \left[\frac{i}{2} \left(\frac{j}{2r} + n \right)^2 + z \left(\frac{j}{2r} + n \right) \right]}, \quad j = 0, 1, 2, \dots, 2r - 1.$$

The ζ_j 's can be represented graphically as



*Fact: Just in genus **one**, the algebra of quantized Wilson lines, which is the reduced Reshetikhin-Turaev skein algebra, is isomorphic to the **reduced** Kauffman bracket skein algebra of the torus at $t = e^{\frac{i\pi}{2r}}$.*

THEOREM (Frohman-G.) *The Kauffman bracket skein algebra has the multiplication rule*

$$(p_1, q_1)_T (p_2, q_2)_T = t^{p_1 q_2 - p_2 q_1} (p_1 + p_2, q_1 + q_2)_T + t^{-(p_1 q_2 - p_2 q_1)} (p_1 - p_2, q_1 - q_2)_T$$

where (p, q) is the curve of slope p/q on the torus if $\gcd(p, q) = 1$ and $(p, q) = T_n((p/n, q/n))$ if $n = \gcd(p, q) > 1$, T_n being the Chebyshev polynomial of first kind.

A *Stone-von Neumann theorem* can be proved in this case:

THEOREM (G.-Uribe) *The representation of the reduced Reshetikhin-Turaev skein algebra of the torus defined by the Weyl quantization of the moduli space of flat $SU(2)$ -connections on the torus is the **unique** irreducible representation of this algebra that maps simple closed curves to self-adjoint operators and t to multiplication by $e^{\frac{\pi i}{2r}}$. Moreover, quantized Wilson lines span the algebra of all linear operators on the Hilbert space of the quantization.*

This implies the **existence** of the Reshetikhin-Turaev representation

$$h \mapsto \rho(h)$$

of the mapping class group of the torus **without** a priori knowing it.

Because the skein algebra contains all linear operators, $\rho(h)$ can be represented as **multiplication by a skein**. Here is the computation...

Let $h = T$, the twist. Recall that $[n]$ denotes the quantized integer $\sin \frac{n\pi}{r} / \sin r$. The exact Egorov identity, in skein form, reads

$$h(\gamma) = \rho(h)\gamma\rho(h)^{-1}$$

where γ is a simple closed curve.

From this identity we deduce that

$$\rho(h) = \sum_{j=1}^{r-1} \alpha_j (0, 1)^j.$$

Rewrite this as

$$\rho(h) = \sum_{j=1}^{r-1} c_j S_{j-1}((0, 1))$$

where S_n is the n th Chebyshev polynomial of second type.

Then

$$(1, 1)\rho(T)\zeta_k = \rho(T)(1, 0)\zeta_k.$$

$$\begin{aligned} & \sum_j c_j \frac{[jk]}{k} t^{-1} (t^{-2k} \zeta_{k+1} + t^{2k} \zeta_{k-1}) \\ &= \sum_j c_j \left(\frac{[j(k+1)]}{[k+1]} \zeta_{k+1} + \frac{[j(k-1)]}{[k-1]} \zeta_{k-1} \right). \end{aligned}$$

Setting the coefficients of ζ_{k+1} on both sides equal yields the system

$$\sum_{j=1}^{r-1} c_j [j(k+1)] = \frac{[k+1]}{[k]} t^{-2k-1} \sum_{j=1}^{r-1} c_j [jk].$$

Solving we obtain

$$\rho(h) = \sum_{j=1}^{r-1} [j] t^{j^2} S_{j-1}((0, 1)).$$

We conclude that $\rho(h)$ is the skein obtained by coloring the surgery curve of T by

$$\Omega = \sum_j [j] V^j$$

Using the fact that each element of the mapping class group is a composition of twists we obtain:

THEOREM Let h be an element of the mapping class group of the torus defined by surgery on the framed link L_h in $T^2 \times [0, 1]$. Then

$$\rho(h) : \widetilde{RT}_t(S^1 \times D^2) \rightarrow \widetilde{RT}_t(S^1 \times D^2)$$

is given by

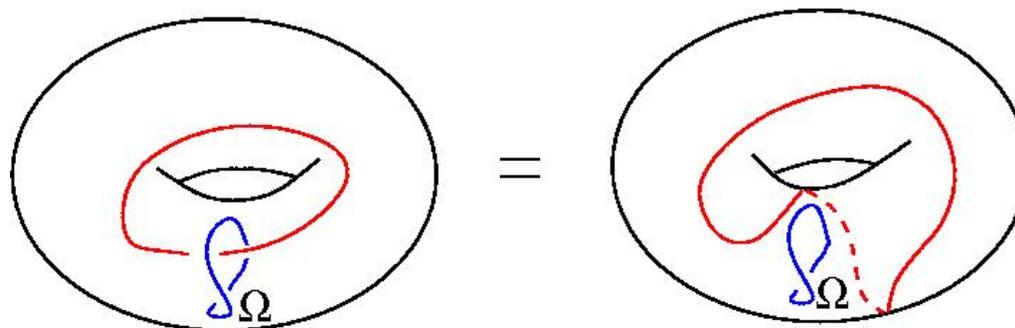
$$\rho(h)\beta = \Omega(L_h)\beta$$

where $\Omega(L_h)$ is the skein obtained by coloring all components of L by Ω .

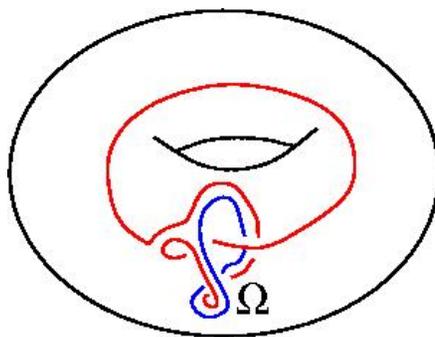
The exact Egorov identity

$$h(\gamma) = \rho(h)\gamma\rho(h)^{-1}$$

gives



The skein on the right is



Exact Egorov identity \implies handle slides in the cylinder over the torus.

In conclusion, by looking at non-abelian theta functions from André Weil's point of view we can introduce in a natural way the element Ω , which is the building block of the Reshetikhin-Turaev topological quantum field theory, and we arrive at slides along link components colored by Ω , which is the main principle behind constructing quantum 3-manifold invariants.