

Obtaining the Witten-Reshetikhin-Turaev
Invariants from Quantum Mechanical
Considerations

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- *Understand the Witten-Reshetikhin-Turaev invariants*
- *Find a more geometric approach to the Jones polynomial*

Starting point:

The quantization of the moduli space of flat connections on a surface

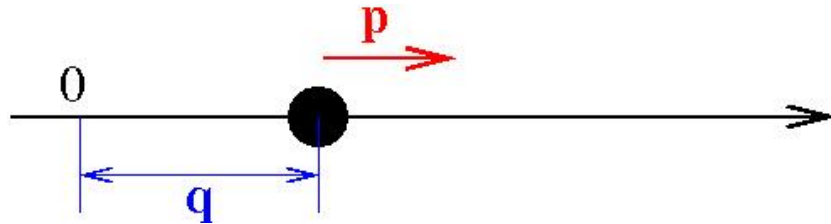
THE PROTOTYPE:

The *Weyl quantization* of a 1-dimensional particle.

- the Hilbert space
- the *Schrödinger representation*
- the *metaplectic representation*

The 1-dimensional particle.

Phase space \mathbb{R}^2 has coordinates \mathbf{q} : position, \mathbf{p} : momentum.
The classical observables are functions $f(\mathbf{p}, \mathbf{q})$.



Quantization: Planck's constant \hbar ($\hbar = h/2\pi$)

$$\text{phase space} \mapsto L^2(\mathbb{R}, d\mathbf{q}),$$

$$\mathbf{q} \mapsto Q = M_{\mathbf{q}},$$

$$\mathbf{p} \mapsto P = -i\hbar \frac{d}{d\mathbf{q}}.$$

where $M_{\mathbf{q}}$ is multiplication by \mathbf{q} .

Heisenberg's canonical commutation relations:

$$PQ - QP = -i\hbar Id$$

Weyl quantization

$$e^{2\pi i(p\mathbf{p}+q\mathbf{q})} \mapsto e^{2\pi i(pP+qQ)}$$

In general, for a function $f(\mathbf{p}, \mathbf{q})$,

$$f \mapsto \mathcal{O}_p(f),$$

where

$$\hat{f}(\xi, \eta) = \iint f(\mathbf{p}, \mathbf{q}) \exp(-2\pi i\mathbf{p}\xi - 2\pi i\mathbf{q}\eta) dx dy$$

and then defining

$$\mathcal{O}_p(f) = \iint \hat{f}(\xi, \eta) \exp 2\pi i(\xi P + \eta Q) d\xi d\eta.$$

The Schrödinger representation

$$e(pP + qQ + tId) = e^{2\pi i(pP + qQ + tId)}$$

The *canonical commutation relations* in exponential form

$$e(P)e(Q) = e^{\pi i h} e(Q)e(P).$$

Heisenberg group $\mathbf{H}(\mathbb{R}) = \mathbb{R} \times \mathbb{R} \times U(1)$,

$$(p, q, e^{2\pi i t})(p', q', e^{2\pi i t'}) = \left(p + p', q + q', e^{2\pi i(t+t' + \frac{h}{2}(pq' - qp'))} \right)$$

The *Schrödinger representation* of $\mathbf{H}(\mathbb{R})$ on $L^2(\mathbb{R}, d\mathbf{q})$ is given by

$$e(pP + qQ + tId)\psi(\mathbf{q}) = e^{2\pi i q\mathbf{q} + \pi i h p q + 2\pi i t} \psi(\mathbf{q} + p).$$

The metaplectic representation

THEOREM (Stone-von Neumann) The Schrödinger representation is the *unique* irreducible unitary representation of $\mathbf{H}(\mathbb{R})$ that maps $e(tId)$ to multiplication by $e^{2\pi it}$ for all $t \in \mathbb{R}$.

COROLLARY Linear (symplectic) changes of coordinates can be quantized.

One obtains a projective representation ρ of

$$SL(2, \mathbb{R}) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \mid ad - bc = 1 \right\}$$

on $L^2(\mathbb{R}, d\mathbf{q})$. This “is” the *metaplectic representation*.

$\rho(h)$ is a *Fourier transform*.

It is the standard Fourier transform when the symplectic map h is the 90° rotation.

The exact Egorov identity

As above, ρ is the metaplectic representation, which tells us how (symplectic) changes of coordinates are quantized.

The fundamental symmetry of the Weyl quantization is

$$Op(f \circ h^{-1}) = \rho(h) Op(f) \rho(h)^{-1},$$

for every observable $f \in C^\infty(\mathbb{R}^2)$ and $h \in SL(2, \mathbb{R})$.

Other quantization models satisfy it only up to an error in Planck's constant:

$$Op(f \circ h^{-1}) = \rho(h) Op(f) \rho(h)^{-1} + O(\hbar).$$

*For Weyl quantization it is satisfied exactly. This is the **exact Egorov identity**.*

The Segal-Bargmann model

The *Segal-Bargmann space*, $\mathcal{HL}^2(\mathbb{C}^n, d\mu_{\hbar})$, is the space of holomorphic functions on \mathbb{C}^n that are L^2 -integrable with respect to the measure

$$d\mu_{\hbar} = (\pi\hbar)^{-n/2} e^{-\|\operatorname{Im} \mathbf{z}\|^2/\hbar} d\mathbf{x}d\mathbf{y}.$$

Based on Fock's observation

$$\frac{\partial}{\partial z}(z\phi(z)) - z\frac{\partial}{\partial z}\phi(z) = \phi(z).$$

set

$$Q + iP = a = M_z + 2\hbar\frac{\partial}{\partial z}$$

$$Q - iP = a^\dagger = M_z.$$

Then

$$e(pP + qQ + tId)\psi(\mathbf{q}) = e^{\pi i h \mathbf{q}(\mathbf{p} + i\mathbf{q}) + 2\pi i \mathbf{q}z + 2\pi i t} \phi(z + h(\mathbf{p} + i\mathbf{q})).$$

The abstract version of the Schrödinger representation

L: Lagrangian subspace of $\mathbb{R}^2 = \mathbb{R}\mathbf{p} + \mathbb{R}\mathbf{q}$, $\chi_{\mathbf{L}}(e(l + tI)) = e^{2\pi it}$, $l \in \mathbf{L}$.

- The Hilbert space $\mathcal{H}(\mathbf{L})$ consists of functions $\phi(u)$ on $\mathbf{H}(\mathbb{R})$ satisfying

$$\phi(uu') = \chi_{\mathbf{L}}(u')^{-1} \phi(u) \text{ for all } u' \in e(\mathbf{L} + \mathbb{R}I)$$

and such that $u \rightarrow |\phi(u)|$ is a square integrable function on the left equivalence classes modulo $e(\mathbf{L} + \mathbb{R}I)$.

- The Schrödinger representation of the Heisenberg group is given by

$$u_0 \phi(u) = \phi(u_0^{-1}u).$$

- The metaplectic representation is defined as

$$\rho(h) : \mathcal{H}(\mathbf{L}) \rightarrow \mathcal{H}(h(\mathbf{L}))$$

$$(\rho(h)\phi)(u) = \int_{e(h(\mathbf{L}))/e(\mathbf{L} \cap h(\mathbf{L}))} \phi(uu_2) \chi_{h(\mathbf{L})}(u_2) du_2$$

QM in Witten-Reshetikhin-Turaev theory

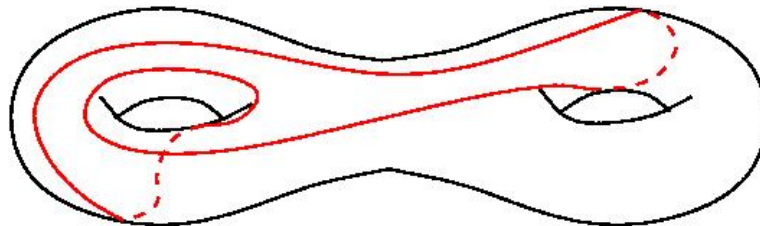
- G : compact simple Lie group, \mathfrak{G} : the Lie algebra of G ,
- Σ_g : genus g closed surface,
- Planck's constant $\hbar = \frac{1}{2r}$, r an integer.

The moduli space of flat \mathfrak{G} -connections on Σ_g :

$$\begin{aligned}\mathcal{M}_g^G &= \{A \mid A : \mathfrak{G} \text{ - connection on } \Sigma_g\} / \text{gauge transformations} \\ &= \{\rho : \pi_1(\Sigma_g) \longrightarrow G\} / \text{conjugation}\end{aligned}$$

The observables are the Wilson lines

$$W_{\gamma,n}(A) = \text{tr}_{V^n} \text{hol}_{\gamma}(A)$$



The quantum group quantization

Associate to the gauge group its **quantum group**

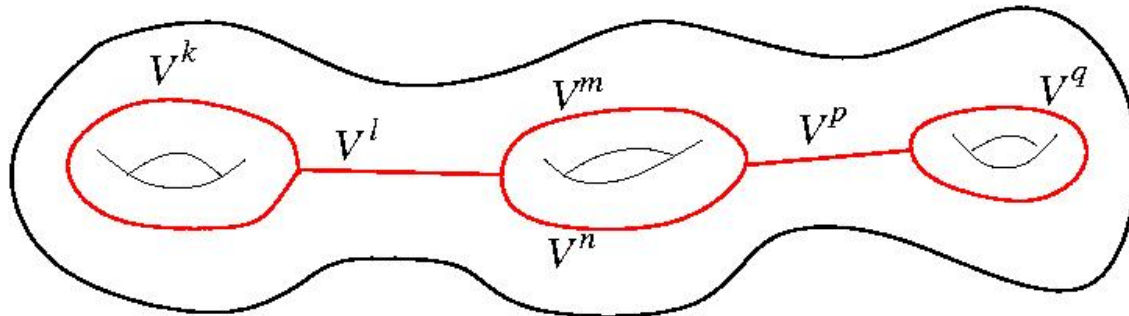
$$G \mapsto U_{\hbar}(G).$$

V^j , $j \in J$, finite family of **irreducible representations** of $U_{\hbar}(G)$ that generate a ring of representations.

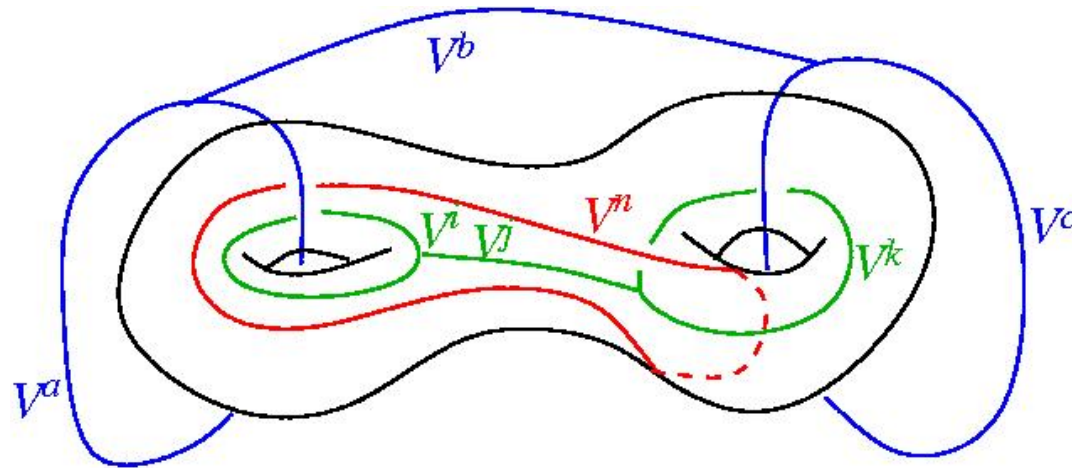
Quantization:

$\mathcal{M}_g^G \mapsto$ space of non-abelian theta functions.

Non-abelian **theta series** of Σ_g can be parametrized by admissible **colorings** of the core of a genus g handlebody by **irreducible representations of $U_{\hbar}(G)$** .



The quantization of a *Wilson line*, $W_{\gamma,n}(A) = \text{tr}_{V^n} \text{hol}_{\gamma}(A)$ is an operator $Op(W_{\gamma,n})$ whose matrix has “entries” that are Reshetikhin-Turaev invariants of diagrams of the form:



The quantization comes with a projective representation ρ of the *mapping class group* of Σ_g , defined by the condition

$$op(W_{h(\gamma),n}) = \rho(h) Op(W_{\gamma,n}) \rho(h)^{-1},$$

which is an *exact Egorov identity*.

Our paradigm: There are the following analogies:

At the level of the vector space

a. $L^2(\mathbb{R})$

b. non-abelian theta functions

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At the level of quantum observables

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b. The algebra generated by quantized Wilson lines $Op(W_{\gamma,n})$

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At the level of quantized changes of coordinates

a. The metaplectic representation (by Fourier transforms)

b. The Reshetikhin-Turaev representation of the mapping class group

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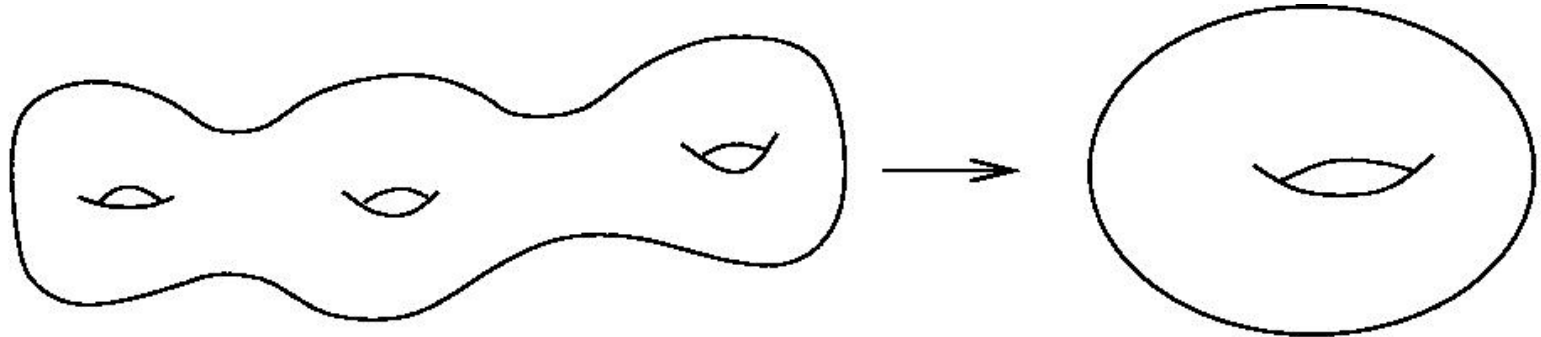
At the level of the relation between observables and changes of coordinates

a. The exact Egorov identity

b. Handle slides

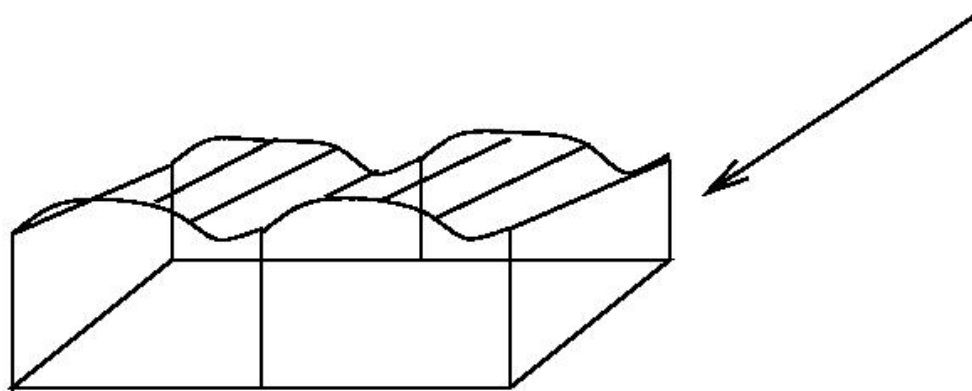
Example 1. Classical theta functions

J.E. Andersen (2005), G.-Uribe (2009)



genus g Riemann surface

$2g$ -dimensional torus

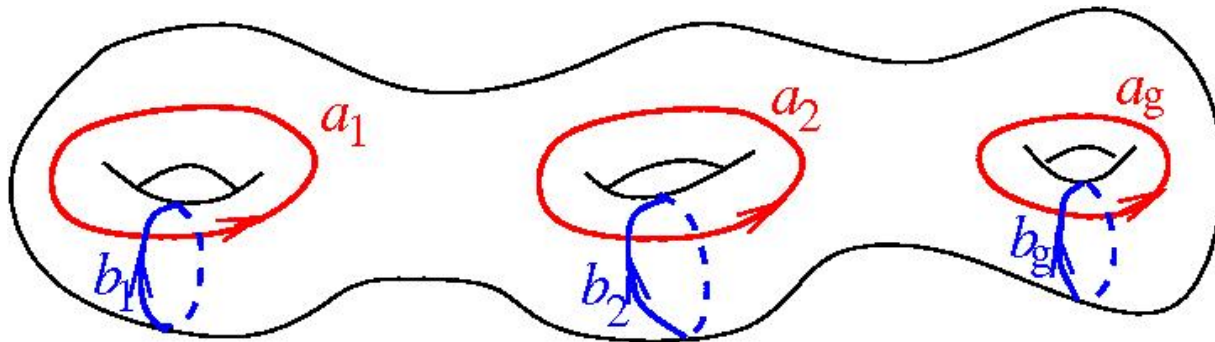


theta functions

The Jacobian variety

Σ_g : closed Riemann surface of genus g , $a_1, a_2, \dots, a_g, b_1, b_2, \dots, b_g$ a canonical basis for $H_1(\Sigma_g, \mathbb{R})$, $\zeta_1, \zeta_2, \dots, \zeta_g$: holomorphic 1-forms defined by $\int_{a_k} \zeta_j = \delta_{jk}$, $j, k = 1, 2, \dots, g$.

$$(\Pi)_{jk} = \int_{b_k} \zeta_j, \quad j, k = 1, \dots, g,$$



The columns of the $2g \times g$ matrix (I_g, Π) span a $2g$ -dimensional lattice $L(\Sigma_g)$ in $\mathbb{C}^g = \mathbb{R}^{2g}$. The complex torus

$$\mathcal{J}(\Sigma_g) = \mathbb{C}^g / L(\Sigma_g) = H_1(\Sigma_g, \mathbb{R}) / H_1(\Sigma_g, \mathbb{Z})$$

is the **Jacobian variety** of Σ_g .

Theta functions and their symmetries

- $\mathcal{J}(\Sigma_g)$ has an associated holomorphic line bundle, whose sections can be identified with holomorphic functions on \mathbb{C}^g satisfying certain periodicity conditions. These are the *classical theta functions* (Jacobi).
- The *mapping class group* of Σ_g acts on theta functions (Hermite-Jacobi action).
- There is an action of a *finite Heisenberg group* (Weil) on theta functions which induces the Hermite-Jacobi action via a Stone-von Neumann theorem.

These can be obtained by applying *Weyl quantization* to $\mathcal{J}(\Sigma_g)$.

The Weyl quantization of the Jacobian variety

Planck's constant $\hbar = \frac{1}{N}$ (Weil's integrality condition), $N = 2r$, r integer.

Replace:

- $\mathcal{J}(\Sigma_g) \mapsto$ space of classical theta functions Θ_N (*geometric quantization*)
- $f \in C^\infty(\mathcal{J}(\Sigma_g)) \mapsto$ linear operator $Op(f)$ (*Weyl quantization*)

An orthonormal basis for Θ_N consists of the *theta series*

$$\theta_\mu(z) = \sum_{n \in \mathbb{Z}^g} e^{2\pi i N [\frac{1}{2}(\frac{\mu}{N} + n)^T \Pi (\frac{\mu}{N} + n) + (\frac{\mu}{N} + n)^T z]}, \quad \mu \in \mathbb{Z}_N^g.$$

$$Op\left(e^{2\pi i(px+qy)}\right) \theta_\mu(z) = e^{-\frac{\pi i}{N}p \cdot q - \frac{2\pi i}{N}\mu \cdot q} \theta_{\mu+p}(z), \quad p, q \in \mathbb{Z}^g$$

The Schrödinger representation of the finite Heisenberg group

$$Op\left(e^{2\pi i(px+qy+k/2)}\right) = e(pP + qQ + kI), p, q \in \mathbb{Z}^g, k \in \mathbb{Z}$$

*Mod out by the kernel of the representation to obtain a **finite Heisenberg group**, $\mathbf{H}(\mathbb{Z}_N^g)$, which is a \mathbb{Z}_{2N} -extension of $\mathbb{Z}_N^{2g} = H_1(\Sigma_g, \mathbb{Z}_N)$.*

The Schrödinger representation

$$e(pP + qQ + kI)\theta_\mu(z) = e^{-\frac{\pi i}{N}p \cdot q - \frac{2\pi i}{N}\mu \cdot q + \frac{2\pi i}{N}k} \theta_{\mu+p}(z).$$

***Stone - von Neumann theorem.** The Schrödinger representation is the **unique irreducible unitary** representation of the finite Heisenberg group with the property that $e(kI)$ acts as multiplication by $e^{\frac{\pi i}{N}k}$.*

The action of the modular group

An element h of the *mapping class group* $Mod(\Sigma_g)$ induces a linear symplectomorphism \tilde{h} on $\mathcal{J}(\Sigma_g)$

$$\tilde{h} = \begin{pmatrix} A & B \\ C & D \end{pmatrix}$$

h acts on quantum observables

$$h \cdot Op \left(e^{2\pi i(p^T x + q^T y)} \right) = Op \left(e^{2\pi i[(Ap+Bq)^T x + (Cp+Dq)^T y]} \right)$$

By Stone-von Neumann there is a unique automorphism $\rho(h)$ of the space of theta functions satisfying the *exact Egorov identity*

$$h \cdot Op \left(e^{2\pi i(px+qy)} \right) = \rho(h) Op \left(e^{2\pi i(px+qy)} \right) \rho(h)^{-1}.$$

$h \rightarrow \rho(h)$: *projective representation of the mapping class group* (the *Hermite-Jacobi action*). It is given by *discrete Fourier transforms*.

The abstract version of the Schrödinger representation

Recall that the finite Heisenberg group is defined by the multiplication:

- $e(pP + qQ)e(p'P + q'Q) = e^{\frac{\pi i}{N}(pq' - qp')}e((p + p')P + (q + q')Q)$
- $\mathbf{L} \subset H_1(\Sigma_g, \mathbb{Z}_N)$ arising from a Lagrangian subspace of $H_1(\Sigma_g, \mathbb{R})$ wrt. to the intersection form. Define

$$\chi : e(\mathbf{L} + \mathbb{Z}_{2N}I) \rightarrow U(1), \quad \chi(l + kI) = e^{\frac{ik\pi}{N}}.$$

The Hilbert space of the quantization, $\mathcal{H}(\mathbf{L})$, is the **quotient** of $\mathbb{C}[\mathbf{H}(\mathbb{Z}_N^g)]$ by

$$u \sim \chi(u')^{-1}uu', \quad u' \in e(\mathbf{L} + \mathbb{Z}_{2N}I).$$

- The Schrödinger representation is the **left action** of $\mathbf{H}(\mathbb{Z}_N^g)$ on the quotient $\mathcal{H}(\mathbf{L})$ of the group algebra of the Heisenberg group:

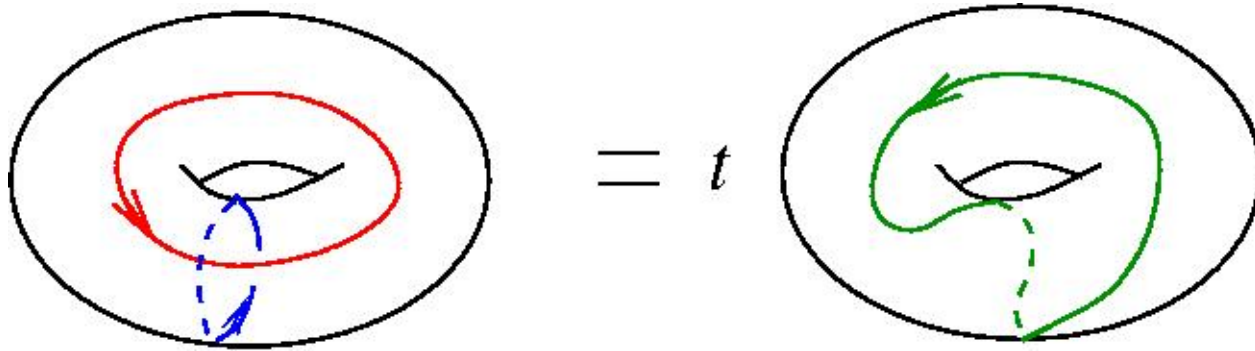
$$u_0 \cdot \hat{u} = \widehat{u_0 u}$$

The topological version of the Schrödinger representation

The multiplication rule for quantized exponentials on the torus:

$$e(pP + qQ)e(p'P + q'Q) = t^{pq' - qp'} e((p + p')P + (q + q')Q)$$

where $t = e^{\frac{i\pi}{N}}$. The determinant is the **algebraic intersection number** of the curve (p, q) of slope p/q with the curve (p', q') of slope p'/q' .

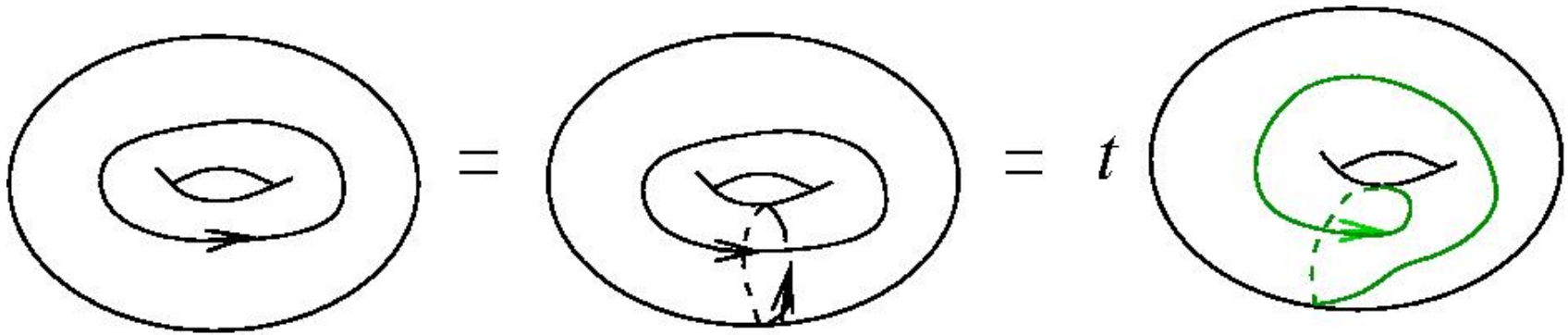


$$(1, 0) \cdot (0, 1) = t(1, 1)$$

The equivalence relation on $\mathbb{C}[\mathbf{H}(\mathbb{Z}_N^g)]$

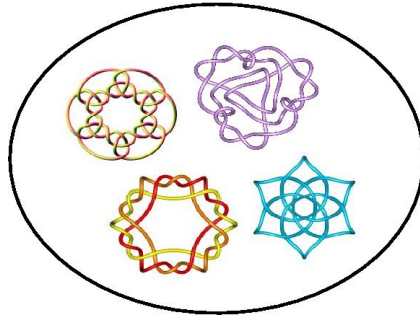
The case of the *torus*, with \mathbf{L} spanned by the *meridian*.

$$u \sim \chi_{\mathbf{L}}(u')^{-1} u u', \quad u' \in e(\mathbf{L} + \mathbb{R}I)$$



$$(1,0) \sim (1,0) \bullet (0,1) = t(1,1)$$

Linking number skein modules



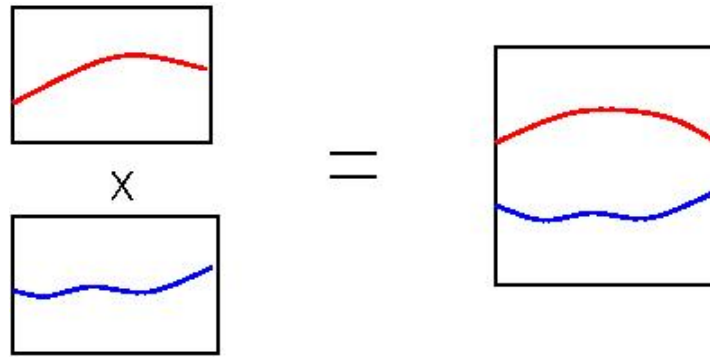
Definition (J. Przytycki) The **linking number skein module** $\mathcal{L}_t(M)$ of the 3-manifold M is the quotient of the free $\mathbb{C}[t, t^{-1}]$ -module with basis the framed oriented links in M by the **skein relations**

$$\begin{array}{c} \begin{array}{c} \nearrow \nearrow \\ \searrow \swarrow \end{array} = t \begin{array}{c} \nearrow \searrow \\ \swarrow \nearrow \end{array} ; \quad \begin{array}{c} \nearrow \searrow \\ \swarrow \nearrow \end{array} = t^{-1} \begin{array}{c} \nearrow \nearrow \\ \searrow \swarrow \end{array} \\ \bigcirc = \phi \end{array}$$

Definition The **reduced linking number skein module** $\tilde{\mathcal{L}}_t(M)$ is obtained by setting $t = e^{\frac{i\pi}{N}}$ and deleting any N parallel copies of a curve.

Skein algebras

If $M = \Sigma_g \times [0, 1]$, then by gluing two copies of M along Σ_g we obtain a multiplication on $\mathcal{L}_t(M)$ and $\tilde{\mathcal{L}}_t(M)$.



$\mathcal{L}_t(\Sigma_g \times [0, 1])$: linking number skein algebra of Σ_g

If M has boundary, then $\mathcal{L}_t(M)$ is a $\mathcal{L}_t(\partial M \times [0, 1])$ -module. The module structure is defined by gluing $\partial M \times [0, 1]$ to M along ∂M , and identifying the result with ∂M .

The topological version of the quantization

We have topological descriptions of the *group algebra of the finite Heisenberg group* and the *Schrödinger representation*:

THEOREM. The group algebra of the finite Heisenberg group $\mathbb{C}[\mathbf{H}(\mathbb{Z}_N^g)]$ is isomorphic to the reduced linking number skein algebra $\tilde{\mathcal{L}}_t(\Sigma_g \times [0, 1])$.

THEOREM. The Schrödinger representation of $\mathbb{C}[\mathbf{H}(\mathbb{Z}_N^g)]$ on theta functions coincides with the action of $\tilde{\mathcal{L}}_t(\Sigma_g \times [0, 1])$ on $\tilde{\mathcal{L}}_t(H_g)$, where H_g is the genus g handlebody.

The discrete Fourier transform

THEOREM. *Let h be an element of $Mod(\Sigma_g)$. Then for a skein $\sigma \in \tilde{\mathcal{L}}_t(H_g)$, $\rho(h)(\sigma)$ is obtained by lifting σ in all possible nonequivalent ways to the boundary Σ_g , mapping those skeins by h taking the average and viewing the result as a skein in H_g .*

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THEOREM. Let h be an element of $Mod(\Sigma_g)$ defined by surgery on a framed link L_h in $\Sigma_g \times [0, 1]$. The discrete Fourier transform

$$\rho(h) : \tilde{\mathcal{L}}_t(H_g) \rightarrow \tilde{\mathcal{L}}_t(H_g)$$

is given by

$$\rho(h)\beta = \Omega_{U(1)}(L_h)\beta$$

where $\Omega_{U(1)}(L_h)$ is obtained from L_h by replacing each link component by

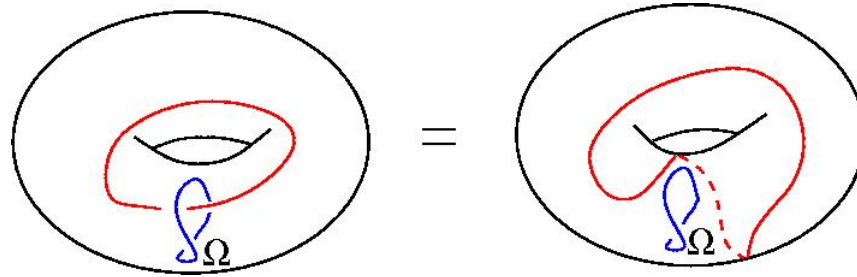
$$\Omega = \phi + \underbrace{\bigcirc}_1 + \underbrace{\bigcirc\bigcirc}_2 + \dots + \underbrace{\bigcirc\bigcirc\bigcirc\cdots}_{N-1}$$

The exact Egorov identity as a handle-slide

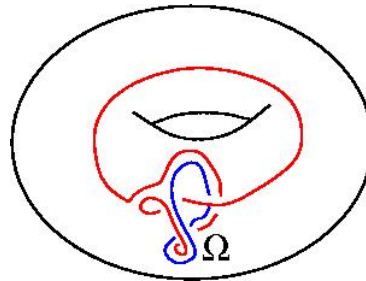
The exact Egorov identity

$$\text{Op} \left(f \circ h^{-1} \right) = \rho(h) \text{Op}(f) \rho(h)^{-1}$$

can be rewritten for skeins as $\rho(h)\sigma = h(\sigma)\rho(h)$



The diagram on the right is the same as

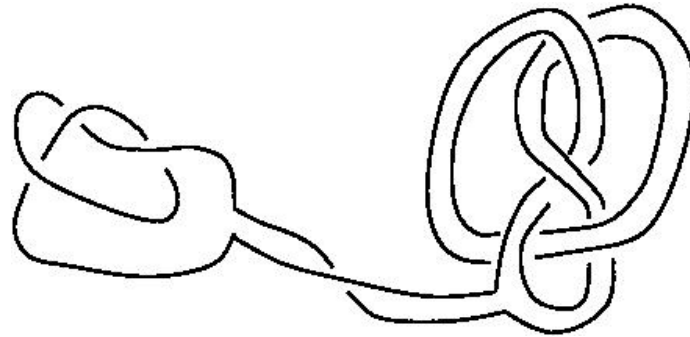


which is the slide of the red curve along the blue curve.

\Rightarrow *Invariants of 3-manifolds!*

The WRT invariant

Stone-von Neumann \Rightarrow handle slides



We obtain the well known Witten-Reshetikhin-Turaev invariant for abelian Chern-Simons theory:

THEOREM Let M be a 3-manifold obtained by surgery on the framed link L in S^3 . Then

$$Z(M) = e^{-\frac{\pi i}{4} \text{sign}(L)} \Omega(L)$$

is a topological invariant of M .

The quantum group for $G = U(1)$

This fits the *Reshetikhin-Turaev* picture. The quantum group is

$$\begin{aligned} \mathbb{C}[\mathbb{Z}_{2N}] &= \mathbb{C}[K]/K^{2N} = 1 \\ \Delta(K) &= K \otimes K, \quad S(K) = K^{2N-1}, \quad \epsilon(K) = 1. \end{aligned}$$

The irreducible representation of interest are V^k , $k = 0, 1, \dots, N - 1$, where $V^k \cong \mathbb{R}$ and K acts by

$$K \cdot v = t^k v.$$

Here $t = e^{\frac{\pi i}{N}}$.

Example 2. Non-abelian theta functions for $G = SU(2)$.

G.-Uribe (2003), G.-Uribe (2009)

In the Reshetikhin-Turaev picture, the quantum group $U_h(sl(2, \mathbb{C}))$ is an algebra generated by X, Y, K satisfying

$$KX = t^2XK, KY = t^{-2}YK, XY - YX = \frac{K^2 - K^{-2}}{t^2 - t^{-2}},$$

$$X^r = Y^r = 0, K^{4r} = 1$$

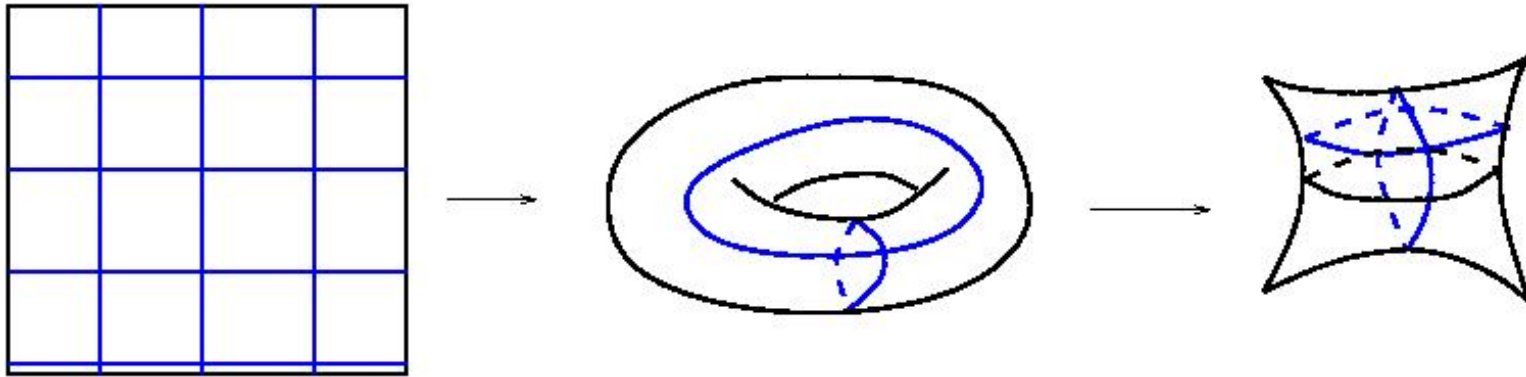
Irreducible representations: V^1, V^2, \dots, V^{r-1} ;

V^k has basis $e_j, j = -k_0, \dots, k_0$, where $k_0 = \frac{k-1}{2}$, and the quantum group acts on it by

$$Xe_j = [k_0 + j + 1]e_{j+1}, \quad Ye_j = [k_0 - j + 1]e_{j-1}, \quad Ke_j = t^{2j}e_j.$$

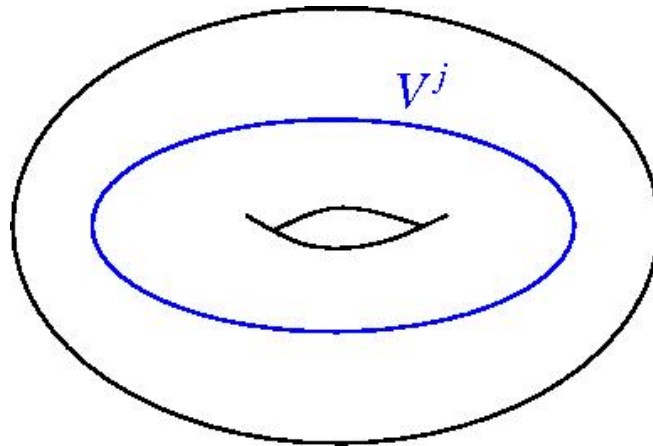
The pillow case

The moduli space of flat $su(2)$ -connections on the torus

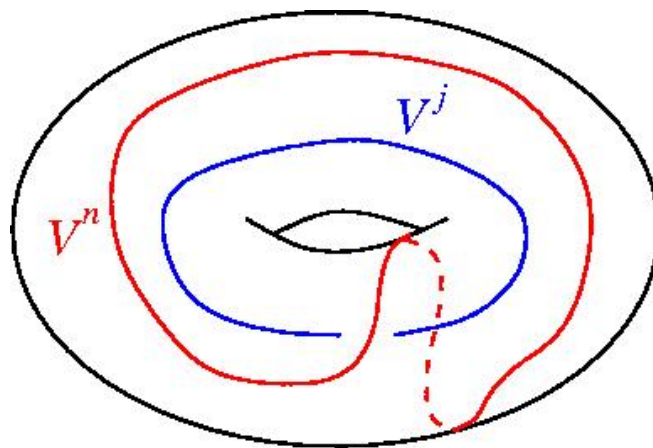


The quantum group quantization for the torus

The basis of the Hilbert space



The operators



Weyl quantization again

THEOREM The **quantum group quantization** and the **Weyl quantization** of the moduli space of flat $su(2)$ -connections on the torus are unitary equivalent.

As a corollary we obtain that the Weyl quantization of the pillow case can be obtained as the left action of the skein algebra of the Jones polynomial of the torus on the skein module of the solid torus.

THEOREM The representation of the skein algebra of the torus defined by the Weyl quantization of the moduli space of flat $su(2)$ -connections on the torus is the **unique** irreducible representation of this algebra that maps simple closed curves to self-adjoint operators and t to multiplication by $e^{\frac{\pi i}{2r}}$.

We thus have an exact Egorov identity, hence an element $\Omega_{SU(2)}$ and handle slides.

The formulas for the Weyl quantization of the pillow case

Complex structure $\mathbb{C}/\mathbb{Z} + \tau\mathbb{Z}$

$$\theta_j^\tau(z) = \sum_n e^{2\pi i N \left[\frac{\tau}{2} \left(\frac{j}{N} + n \right)^2 + z \left(\frac{j}{N} + n \right) \right]}, \quad j = 0, 1, 2, \dots, N - 1.$$

$$\zeta_j^\tau(z) = (\theta_j^\tau(z) - \theta_{-j}^\tau(z)), \quad j = 1, 2, \dots, r - 1,$$

$$Op(2 \cos 2\pi(px + qy)) \zeta_j^\tau(z) = e^{-\frac{\pi i}{2r} pq} \left(e^{\frac{\pi i}{r} qj} \zeta_{j-p}^\tau(z) + e^{-\frac{\pi i}{r} qj} \zeta_{j+p}^\tau(z) \right)$$