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# On Picard–Vessiot extensions with group PGL<sub>3</sub>

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#### Abstract

Let *F* be a differential field of characteristic zero with algebraically closed field of constants. We provide an explicit description of the twisted Lie algebras of  $PGL_3$ -equivariant derivations on the coordinate rings of *F*-irreducible  $PGL_3$ -torsors in terms of nine-dimensional central simple algebras over *F*. We use this to construct a Picard–Vessiot extension which is the function field of a non-trivial torsor and which is a generic extension for  $PGL_3$ .

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### 1. Introduction

We work in the standard differential Galois theory context and therefore all the fields considered are of characteristic zero. Let C be an algebraically closed field with trivial derivation,  $G \subset GL_n$  an algebraic group over C and F a differential field with field of constants C. The following facts are well known:

- (1) The Picard–Vessiot extensions (PVEs for short) of *F* with differential Galois group *G*, if any, are function fields of *F*-irreducible *G*-torsors (cf. [10, Theorem 5.12] or [11, Theorem 1.28]).
- (2) The isomorphism classes of *G*-torsors are in one-to-one correspondence with the equivalence classes of crossed homomorphisms in the first Galois cohomology set  $H^1(F, G)$  (cf. [12, Proposition 33]).

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Therefore,

(3) To each PVE with group G one can associate an element of  $H^1(F, G)$  which, by Speiser's Theorem [9, Exercise 1.61] corresponds to a matrix  $P \in GL_n(\bar{F})$ ,  $\bar{F}$  denoting the algebraic closure.

The correspondence in (3) can be used to provide a precise description of the 'twisted' Lie algebras of *G*-equivariant derivations on the function fields of irreducible *G*-torsors, when a good interpretation of the elements of  $H^1(F, G)$  is available—meaning that the matrices *P* can be given explicitly (see [6] for details).

In the case of  $PGL_n$  it is well known that the elements of  $H^1(F, PGL_n)$  correspond to isomorphism classes of  $n^2$ -dimensional CSAs (central simple algebras) over F, cf. [9, Section 4.2]. Regrettably, we stop short here since the structure of those is poorly understood in general. In this paper we will discuss the case n = 3, where the CSAs are known to be cyclic [1]. We point out that for the case n = 4 the structure is also known: the CSAs are crossed products based on a Galois extension for the Klein four group, cf. [1]. However, it is not immediately clear how these can be parametrized, leaving this case open to further research. Finally, the case n = 2 is of no interest in this paper since over the fields that we consider  $PGL_2$  is isomorphic to  $SO_3$ , a group already studied in [6,7].

Our objective is to provide a systematic description of the PVEs  $E \supset F$  with differential Galois group PGL<sub>3</sub>, for an arbitrary differential field F with field of constants C, by means of a construction similar to the one done in [7] for the special orthogonal groups. More precisely, let  $\mathfrak{gl}_m(\cdot)$  be the Lie algebra of  $m \times m$  matrices with coefficients in some specified field, and consider the differential rational field  $\mathcal{F} = C\langle Z_1, \ldots, Z_k \rangle$ , where  $Z_1, \ldots, Z_k$  are differentially independent indeterminates over C. We will construct a PVE  $\mathcal{E}$  of  $\mathcal{F}$  which is the function field of a non-trivial PGL<sub>3</sub>-torsor and which satisfies the following:

**Definition 1.** (See [7].) A Picard–Vessiot *G*-extension (i.e., a PVE with differential Galois group isomorphic to *G*)  $\mathcal{E} \supset \mathcal{F}$  for the equation  $X' = X\mathcal{A}(Z)$ , with  $\mathcal{A}(Z) = \mathcal{A}(Z_1, \ldots, Z_k) \in \mathfrak{gl}_m(\mathcal{F})$ for some *m*, is said to be *a generic extension for G* if for every Picard–Vessiot *G*-extension  $E \supset F$  there is a specialization  $Z_i \rightarrow f_i \in F$ , such that the equation  $X' = X\mathcal{A}(f_1, \ldots, f_k)$  gives rise to  $E \supset F$  and any fundamental solution matrix maps to one for the specialized equation.

The extension that we construct has the descent generic property [7], meaning that for any differential field F with field of constants C there is a PVE  $E \supset F$  with differential Galois group  $H \leq G$  if and only if there are  $f_i \in F$  such that the matrix  $A(f_1, \ldots, f_n)$  is well defined, the equation  $X' = XA(f_1, \ldots, f_n)$  gives rise to the extension  $E \supset F$ , and any fundamental solution matrix of X' = XA(Z) maps to one of  $X' = XA(f_1, \ldots, f_n)$  under  $Z_i \rightarrow f_i$ .

Generic extensions (and the related notions of generic equations [2,3]) are broadly discussed in [7]. We point out that the constructions in [4,5] provide a more restricted form of such extensions: in [4] all connected groups are considered, but the extensions are function fields of the trivial torsor. In [5] the groups G considered are the semidirect product  $H \ltimes G^0$  of the connected component  $G^0$  by a finite group H, and the extensions are function fields of F-irreducible Gtorsors of the form  $W \times G^0$ , for some F-irreducible H-torsor W. The H-subextensions are also considered fixed. Although these omit the description of extensions which are function fields of non-trivial G-torsors, they cover many more groups than the ones for which an explicit description of the torsors using the first Galois cohomology is known. In [7] we also discuss how the

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extensions in [4] can be used indirectly in the general situation of cohomologically non-trivial connected groups when a good description of the torsors is not available.

## 2. The representation of PGL<sub>3</sub>

Let K be a field. It is well known that an isomorphism  $M_m(K) \otimes_K M_n(K) \simeq M_{mn}(K)$  is given by

$$A \otimes 1 \mapsto \begin{pmatrix} A & & & \\ & A & & \\ & & A & \\ & & & \ddots & \\ & & & & & A \end{pmatrix}$$

and

$$1 \otimes B \mapsto \begin{pmatrix} b_{11}I & b_{12}I & \dots & b_{1n}I \\ b_{21}I & b_{22}I & \dots & b_{2n}I \\ \vdots & \vdots & \ddots & \vdots \\ b_{n1}I & b_{n2}I & \dots & b_{nn}I \end{pmatrix},$$

or simply

$$(A \otimes B)_{ij} = a_{((i-1) \operatorname{mod} m)+1, ((j-1) \operatorname{mod} m)+1} \cdot b_{\lfloor (i-1)/m \rfloor + 1, \lfloor (j-1)/m \rfloor + 1}.$$

Correspondingly, we get a group homomorphism  $GL_n \rightarrow SL_{n^2}$  by

$$A \mapsto A \otimes A^{-T}.$$

Here, the image is closed: If we identify  $M_n(K)$  with  $K^{n^2}$ , the image consists exactly of those vector space automorphisms on  $M_n(K)$  that preserve matrix multiplication, i.e., the algebra automorphisms, and this condition clearly defines a Zariski closed set.

Since the kernel consists exactly of the scalar matrices, we have a representation  $\varphi$ : PGL<sub>n</sub>  $\rightarrow$  SL<sub>n</sub><sup>2</sup>.

We will consider this representation in the case n = 3. (And we refrain from writing  $\varphi$  out explicitly.) Now, PGL<sub>3</sub> is an 8-dimensional irreducible algebraic group, and we will need to describe its Lie algebra  $\mathfrak{pgl}_3$ . As  $\mathfrak{pgl}_3$  consists simply of those  $9 \times 9$  matrices *C*, for which  $I + \varepsilon C$  is in PGL<sub>3</sub>( $K[\varepsilon]$ ) for an 'algebraic infinitesimal'  $\varepsilon$ , i.e., a non-zero quantity satisfying  $\varepsilon^2 = 0$  (see, e.g., [11, A.2.2]), we can produce such matrices by looking at  $(I + \varepsilon A) \otimes (I - \varepsilon A^T)$  for  $3 \times 3$  matrices *A*. In fact, if we assume Tr(A) = 0, we get that

$$(I + \varepsilon A) \otimes (I - \varepsilon A^T) = I + \varepsilon U$$

for

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 $U = A \otimes 1 - 1 \otimes A^T$ 

	$\begin{pmatrix} 0 \end{pmatrix}$	<i>a</i> <sub>12</sub>	<i>a</i> <sub>13</sub>	$-a_{21}$	0	0	$-a_{31}$	0	0	
	a <sub>21</sub>	$-a_{11} + a_{22}$	<i>a</i> <sub>23</sub>	0	$-a_{21}$	0	0	$-a_{31}$	0	
	<i>a</i> <sub>31</sub>	<i>a</i> <sub>32</sub>	$-a_{22} - 2a_{11}$	0	0	$-a_{21}$	0	0	$-a_{31}$	
	$-a_{12}$	0	0	$a_{11} - a_{22}$	$a_{12}$	<i>a</i> <sub>13</sub>	$-a_{32}$	0	0	
=	0	$-a_{12}$	0	$a_{21}$	0	a <sub>23</sub>	0	$-a_{32}$	0	•
	0	0	$-a_{12}$	<i>a</i> <sub>31</sub>	<i>a</i> <sub>32</sub>	$-a_{11} - 2a_{22}$	0	0	$-a_{32}$	
	$-a_{13}$	0	0	$-a_{23}$	0	0	$a_{22} + 2a_{11}$	<i>a</i> <sub>12</sub>	<i>a</i> <sub>13</sub>	
	0	$-a_{13}$	0	0	$-a_{23}$	0	$a_{21}$	$a_{11} + 2a_{22}$	a23	
	0	0	$-a_{13}$	0	0	$-a_{23}$	<i>a</i> <sub>31</sub>	a <sub>32</sub>	0 /	/
									(	1)

This gives us an 8-dimensional subspace of  $pgl_3$ , i.e., all of  $pgl_3$ .

### 3. The cohomology

Let K be a field as before. From the short-exact sequence

$$1 \to \bar{K}^* \to \operatorname{GL}_3(\bar{K}) \to \operatorname{PGL}_3(\bar{K}) \to 1,$$

we get (part of) a long-exact cohomology sequence

$$1 \to H^1(K, \operatorname{PGL}_3) \xrightarrow{s} H^2(K, \mathbb{G}_m),$$

and if we identify  $H^2(K, \mathbb{G}_m)$  with the Brauer group Br(K), it is known (see, e.g., [9, Lemma 6.3.1]) that

**Lemma 1.** For  $e \in H^1(K, \text{PGL}_3)$  we have  $\delta[e] = [M_3(K)_e]$ , where  $M_3(K)_e$  is the Galois twist of  $M_3(K)$  by e.

The Galois twists of  $M_3(K)$  are the nine-dimensional central simple algebras over K. These are classically known to be cyclic, cf. [1, Theorem XI.5]. In our case, since the field K contains a primitive third root of unity  $\zeta$ , this means that the algebras have the form  $(a, b/K)_3 = K[i, j]$  for  $a, b \in K^*$ , where  $i^3 = a$ ,  $j^3 = b$  and  $ji = \zeta ij$ , cf., e.g., [9, 3.5]. These are either *split* (i.e., isomorphic to  $M_3(K)$ ) or *non-split* (i.e., division algebras).

Thus, we can produce all crossed homomorphisms (and hence all torsors) by starting with a cyclic algebra, and if the cyclic algebra is non-split, the torsor will be non-trivial.

Without loss of generality, we may assume that *a* is not a third power in *K*. We then have a  $C_3$ -extension  $M/K = K(\sqrt[3]{a})/K$  which splits  $(a, b/K)_3$ , since we can let

$$i = \begin{pmatrix} \sqrt[3]{a} & & \\ & \zeta \cdot \sqrt[3]{a} & \\ & & \zeta^2 \cdot \sqrt[3]{a} \end{pmatrix}, \qquad j = \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ b & 0 & 0 \end{pmatrix}.$$

The crossed homomorphism corresponding to  $(a, b/K)_3$  then factors through  $C_3$ , i.e., it is of the form  $e: C_3 \to \text{PGL}_3(M)$ . Let  $\sigma \in C_3$  be given by  $\sigma(\sqrt[3]{a}) = \zeta \cdot \sqrt[3]{a}$ . Hence, we can find e

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by finding  $e_{\sigma}$ , which again can be done by requiring that the matrices *i* and *j* above should be invariant under the twisted Galois action of  $C_3$  on  $M_3(M)$ , i.e., that

$$e_{\sigma}\sigma(i)e_{\sigma}^{-1}=i, \qquad e_{\sigma}\sigma(j)e_{\sigma}^{-1}=j.$$

It is obvious that we can simply let  $e_{\sigma} = j^{-1}$ . We then have

$$\varphi(e_{\sigma}) = E_{\sigma} = \begin{pmatrix} 0 & 0 & 1 \\ b & 0 & 0 \\ 0 & 0 & 1/b \\ 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1/b \\ 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 1 & 0 \end{pmatrix}$$

and get  $E_{\sigma} = P\sigma(P)^{-1}$  for

$$P = \begin{pmatrix} 1 & 0 & 0 & \zeta \cdot \sqrt[3]{a} & 0 & 0 & \zeta^2 \cdot (\sqrt[3]{a})^2 & 0 & 0 \\ 0 & b & 0 & 0 & \zeta \cdot \sqrt[3]{ab} & 0 & 0 & \zeta^2 \cdot (\sqrt[3]{a})^2 b & 0 \\ 0 & 0 & b & 0 & 0 & \zeta \cdot \sqrt[3]{ab} & 0 & 0 & \zeta^2 \cdot (\sqrt[3]{a})^2 b \\ 0 & 0 & 1 & 0 & 0 & \zeta^2 \cdot \sqrt[3]{a} & 0 & 0 & \zeta \cdot (\sqrt[3]{a})^2 \\ 1 & 0 & 0 & \zeta^2 \cdot \sqrt[3]{a} & 0 & 0 & \zeta \cdot (\sqrt[3]{a})^2 & 0 & 0 \\ 0 & b & 0 & 0 & \zeta^2 \cdot \sqrt[3]{ab} & 0 & 0 & \zeta \cdot (\sqrt[3]{a})^2 b & 0 \\ 0 & 1 & 0 & 0 & \sqrt[3]{a} & 0 & 0 & (\sqrt[3]{a})^2 & 0 \\ 0 & 0 & 1 & 0 & 0 & \sqrt[3]{a} & 0 & 0 & (\sqrt[3]{a})^2 & 0 \\ 1 & 0 & 0 & \sqrt[3]{a} & 0 & 0 & (\sqrt[3]{a})^2 & 0 & 0 \end{pmatrix}.$$

$$(2)$$

## 4. The derivations

We see that

This matrix does not have its coefficients in K.

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The derivations on  $K[Y, 1/\det Y]$ , where Y = XP and X is a generic point for PGL<sub>3</sub>, are given by Y' = YB, where  $B = P^{-1}AP + P^{-1}P'$ , and  $A \in \mathfrak{pgl}_3(M)$  is picked such that  $B \in M_9(K)$ .

We know that there exists an element  $C \in P^{-1}\mathfrak{pgl}_3(M)P$  such that  $P^{-1}P' - C$  has coefficients in K [6, Proposition 1], meaning that B can then be picked in

$$(P^{-1}\mathfrak{pgl}_3(M)P + P^{-1}P')^{C_3} = (P^{-1}\mathfrak{pgl}_3(M)P + (P^{-1}P' - C))^{C_3} = (P^{-1}\mathfrak{pgl}_3(M)P)^{C_3} + (P^{-1}P' - C).$$

Computations show that we can let  $C = P^{-1}UP$ , where U is the element in  $pgl_3(M)$  with  $a_{11} = -b'/3b$  and the other  $a_{ij}$  all 0. Then,

$$P^{-1}P' - C = \operatorname{diag}\left(0, \frac{2}{3}\frac{b'}{b}, \frac{1}{3}\frac{b'}{b}, \frac{1}{3}\frac{a'}{a}, \frac{1}{3}\frac{a'}{a} + \frac{2}{3}\frac{b'}{b}, \frac{1}{3}\frac{a'}{a} + \frac{1}{3}\frac{b'}{b}, \frac{2}{3}\frac{a'}{a}, \frac{2}{3}\frac{a'}{a} + \frac{2}{3}\frac{b'}{b}, \frac{2}{3}\frac{a'}{a} + \frac{1}{3}\frac{b'}{b}\right).$$

Next, we compute  $\mathfrak{T} = (P^{-1}\mathfrak{pgl}_3(M)P)^{C_3}$ . This is the twisted Lie algebra associated to the torsor (cf. [6]), and is itself a Lie algebra over K of dimension 8. Finding  $\mathfrak{T}$  is just linear algebra, and we find that the elements of  $\mathfrak{T}$  are  $P^{-1}UP$ , where U is an element from  $\mathfrak{pgl}_3(M)$  with

$$a_{11} = x_1 \cdot \sqrt[3]{a} - x_2 \zeta (\sqrt[3]{a})^2,$$
  

$$a_{12} = y_1 - y_2 \cdot \sqrt[3]{a} + y_3 \zeta^2 (\sqrt[3]{a})^2,$$
  

$$a_{13} = z_1 + z_2 \cdot \sqrt[3]{a} + z_3 (\sqrt[3]{a})^2,$$
  

$$a_{21} = b(z_1 + z_2 \zeta \cdot \sqrt[3]{a} + z_3 \zeta^2 (\sqrt[3]{a})^2) = b\sigma(a_{13}),$$
  

$$a_{22} = x_1 \zeta \cdot \sqrt[3]{a} - x_2 (\sqrt[3]{a})^2 = \sigma(a_{11}),$$
  

$$a_{23} = y_1 - y_2 \zeta \cdot \sqrt[3]{a} + y_3 \zeta (\sqrt[3]{a})^2 = \sigma(a_{12}),$$
  

$$a_{31} = b(y_1 - y_2 \zeta^2 \cdot \sqrt[3]{a} + y_3 \zeta (\sqrt[3]{a})^2) = b\sigma^2(a_{12}),$$
  

$$a_{32} = b(z_1 + z_2 \zeta^2 \cdot \sqrt[3]{a} + z_3 \zeta (\sqrt[3]{a})^2) = b\sigma^2(a_{13}),$$

where  $x_1, x_2, y_1, y_2, y_3, z_1, z_2, z_3 \in K$ .

For convenience, we scale  $x_1$  and  $x_2$  by  $(1+2\zeta)/3$ , and  $y_1$ ,  $y_2$ ,  $y_3$ ,  $z_1$ ,  $z_2$  and  $z_3$  by  $(1-\zeta)/3$ . The result is

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Thus, the derivations on K(Y) are given by Y' = YB for

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### 5. A Picard–Vessiot extension

Let  $a, b, x_1, x_2, y_1, y_2, y_3, z_1, z_2, z_3$  be differentially independent indeterminates over C and let  $\mathcal{K} = C\langle a_{11}, a_{12}, a_{13}, a_{21}, a_{22}, a_{23}, a_{31}, a_{32} \rangle$  where the  $a_{ij}$  are defined in the previous section. Put  $Z_{11} = x_1\sqrt[3]{a}, Z_{12} = x_2(\sqrt[3]{a})^2, Z_{13} = y_1 + \zeta y_3(\sqrt[3]{a})^2, Z_{21} = y_1 - (1+\zeta)y_2(\sqrt[3]{a})^2, Z_{22} = a_{31}, Z_{23} = a_{13}, Z_{31} = bz_1 - bz_2\sqrt[3]{a}, Z_{32} = bz_1 - bz_3(\sqrt[3]{a})^2$ . A calculation shows that  $Z_{ij} \in \mathcal{K}$ . Since  $x_1\sqrt[3]{a}, x_2\sqrt[3]{a}^2, y_1, y_2(\sqrt[3]{a})^2, y_3(\sqrt[3]{a})^2, z_1, z_2\sqrt[3]{a}, z_3(\sqrt[3]{a})^2$  and b are differentially independent over C it immediately follows that the  $Z_{ij}$  are differentially independent over C. Therefore the differential transcendence degree [8, Definition 3.2.33 and Theorem 5.4.12] of  $\mathcal{K}$  over C has to be eight. This proves that the  $a_{ij}$  are differentially independent over C as well. By [4, Theorem 4.1.2] it follows that  $\mathcal{K}(PGL_3)$  (i.e., the function field of the trivial torsor) is a PVE with group PGL<sub>3</sub> for the equation X' = XU, where U is given by (1).

Now, let  $\mathcal{F} = \mathcal{C}\langle a, b, x_1, x_2, y_1, y_2, y_3, z_1, z_2, z_3 \rangle$ . Since  $\overline{\mathcal{F}} = \overline{\mathcal{K}\langle a, b \rangle}$  we have that  $\overline{\mathcal{F}}(PGL_3) \supset \mathcal{K}\langle a, b \rangle (PGL_3)$  is an algebraic and thus no-new-constant extension, since the field of constants of  $\mathcal{K}\langle a, b \rangle (PGL_3)$  is algebraically closed. Thus  $\overline{\mathcal{F}}(PGL_3) \supset \overline{\mathcal{F}}$  is a PVE with group PGL<sub>3</sub>. It follows at once that the function field  $\mathcal{F}(Y)$  of the PGL<sub>3</sub>-torsor corresponding to the matrix *P* in (2) is a PVE of  $\mathcal{F}$  with group PGL<sub>3</sub> for the equation X' = XB, with *B* as in (3). Since *a*, *b* are differential indeterminates over  $\mathcal{C}$  the associated CSA is non-split and the corresponding torsor is non-trivial.

### 6. Generic extension

**Theorem 1.** *The extension*  $\mathcal{F}(Y) \supset \mathcal{F}$  *is a descent generic* PVE *for* PGL<sub>3</sub>*.* 

**Proof.** Let the matrix  $\mathcal{A}(Z_i)$  in Definition 1, where the  $Z_i$ , i = 1, ..., 10, respectively stand for the differential indeterminates  $a, b, x_1, x_2, y_1, y_2, y_3, z_1, z_2, z_3$ , be the matrix B in (3).

Suppose that  $E \supset F$  is a PVE with differential Galois group  $H \leq PGL_3$ . Let X,  $X_H$  respectively denote generic points of PGL<sub>3</sub> and H. Then  $E = F(Y_H)$ , where  $Y_H = X_H P$  for some invertible matrix P of the form (2), with coefficients in  $\overline{F}$ . Moreover, there is an F-algebra homomorphism of coordinate rings

$$F[XP, \det(XP)^{-1}] \twoheadrightarrow F[X_HP, \det(X_HP)^{-1}].$$

Since  $X_H P$  is a generic point for an *H*-torsor we have that XP is a generic point for a PGL<sub>3</sub>-torsor, and therefore the twisted Lie algebra associated to the *H*-torsor is contained in that for the PGL<sub>3</sub>-torsor. In turn, this implies that the generic point  $Y_H$  satisfies an equation with matrix  $\tilde{B} = \mathcal{A}(f_i)$  for some  $f_i \in F$ .

Conversely, a specialization  $\mathcal{A}(f_i)$  of  $\mathcal{A}(Z_i)$  with  $f_i \in F$ , gives a derivation on the coordinate ring  $F[XP, \det(XP)^{-1}]$  of a PGL<sub>3</sub>-torsor, which may have new constants. We get a PVE of F by taking the quotient field of the factor ring

$$F[XP, \det(XP)^{-1}]/M,$$

where M is a maximal differential ideal. The differential Galois group in this case is the closed subgroup of PGL<sub>3</sub> consisting of those elements that stabilize M.

It is now clear that a fundamental matrix for the equation  $\eta' = \eta \mathcal{A}(Z_i)$  specializes to one for  $\eta' = \eta \mathcal{A}(f_i)$ . For, on the one hand, a solution of  $\eta' = \eta \mathcal{A}(Z_i)$  is given by a generic point  $XP(Z_1, Z_2)$  of the PGL<sub>3</sub>-torsor corresponding to a matrix  $P(Z_1, Z_2)$  of the form (2), with *a* and *b*, respectively, the differential indeterminates  $Z_1$  and  $Z_2$ .

On the other hand, a solution of  $\eta' = \eta \mathcal{A}(f_i)$  is given by a generic point  $X_H P(f_1, f_2)$  of an *H*-torsor ( $H \leq PGL_3$ ) corresponding to a matrix  $P(f_1, f_2)$  also of the form (2), with *a* and *b*, respectively, some elements  $f_1, f_2 \in F$ .

Clearly, the matrix  $P(Z_1, Z_2)$  permits specialization of  $Z_1$  and  $Z_2$  to any non-zero values  $f_1$  and  $f_2$ .  $\Box$ 

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