

# Syzygies of algebraic curves

Wenbo Niu  
University of Arkansas

Southwest Local Algebra Meeting  
University of Texas at Arlington, March 1, 2026

# Setup

- We work over the field  $k = \mathbb{C}$ .
- $S = k[x_0, \dots, x_r]$  is the polynomial ring.
- An algebraic variety  $X =$  zero locus of (finitely many) homogeneous polynomials (defining equations). All defining equations form an ideal homogeneous ideal  $I_X$  of  $S$ .
- The coordinate ring of  $X$  is

$$S_X = S/I_X,$$

- $S_X$  is a finitely generated graded  $S$ -module.

# I. Syzygies, Betti diagram and $N_p$ -property

# Hilbert's syzygies theorem

Theorem (Hilbert's syzygy theorem, 1890)

Let  $M$  be a finitely generated graded  $S$ -module. Then  $M$  has a minimal graded free resolution of the form

$$0 \longrightarrow F_m \xrightarrow{d_m} \cdots \longrightarrow F_1 \xrightarrow{d_1} F_0 \xrightarrow{d_0} M \longrightarrow 0$$

where  $m \leq r + 1$  and each

$$F_i = \bigoplus_j S(-j)^{\beta_{i,j}} \text{ for finitely many nonzero integers } \beta_{i,j}.$$

- “minimal” means that in the matrix  $d_i$  each entry is either zero or a non-constant homogeneous polynomial.

# Betti Diagram

Given a minimal graded free resolution

$$\begin{array}{ccccccc}
 \dots & \longrightarrow & F_2 & \xrightarrow{d_2} & F_1 & \xrightarrow{d_1} & F_0 & \longrightarrow & M & \longrightarrow & 0, \\
 & & \parallel & & \parallel & & \parallel & & & & \\
 & & \bigoplus_j S(-j)^{\beta_{2,j}} & & \bigoplus_j S(-j)^{\beta_{1,j}} & & \bigoplus_j S(-j)^{\beta_{0,j}} & & & & 
 \end{array}$$

the Betti diagram of  $M$  has the form

	0	1	2	...	$m$
$i$	$\beta_{0,i}$	$\beta_{1,i+1}$	$\beta_{2,i+2}$	...	$\beta_{m,i+m}$
$i+1$	$\beta_{0,i+1}$	$\beta_{1,i+2}$	$\beta_{2,i+3}$	...	$\beta_{m,i+1+m}$
...	...	...	...	...	...
$j$	$\beta_{0,j}$	$\beta_{1,j+1}$	$\beta_{2,j+2}$	...	$\beta_{m,j+m}$

## Example: complete intersections

Consider  $S = k[x_0, x_1, x_2, x_3, x_4]$  and  $X$  is defined by the equations

$$f_1 = x_0^2, \quad f_2 = x_1^2, \quad f_3 = x_4^3$$

The coordinate ring  $S_X = S/(f_1, f_2, f_3)$  has a resolution

$$0 \rightarrow S(-7) \rightarrow S(-4) \oplus S(-5)^2 \rightarrow S(-2)^2 \oplus S(-3) \rightarrow S \rightarrow S_X \rightarrow 0.$$

The Betti diagram is

	0	1	2	3
0	1	—	—	
1	—	2	—	—
2	—	1	1	—
3	—	—	2	—
4	—	—	—	1

	0	1	2	3
0	■	□	□	□
1	□	■	□	□
2	□	■	■	□
3	□	□	■	□
4	□	□	□	■

## Example: rational normal curves

Let  $S = k[x_0, x_1, x_2, x_3]$  and let  $X$  be the image of the morphism

$$\begin{aligned} \mathbb{P}^1 &\longrightarrow \mathbb{P}^3 \\ [s, t] &\longmapsto [s^3, s^2t, st^2, t^3]. \end{aligned}$$

The defining equations of  $X$  are

$$q_1 = x_1x_3 - x_2^2, \quad q_2 = x_0x_3 - x_1x_2, \quad \text{and} \quad q_3 = x_0x_2 - x_1^2.$$

The coordinate ring  $S_X = S/(q_1, q_2, q_3)$  has a minimal resolution

$$0 \longrightarrow S^2(-3) \xrightarrow{\begin{pmatrix} x_0 & x_1 \\ x_1 & x_2 \\ x_2 & x_3 \end{pmatrix}} S^3(-2) \xrightarrow{(q_1, -q_2, q_3)} S \longrightarrow S_X \longrightarrow 0$$

and the Betti diagram of  $S_X$  is

	0	1	2
0	1	—	—
1	—	3	2

	0	1	2
0	■	□	□
1	□	■	■

# Geometric Setup.

- An embedding of a projective variety

$$X \hookrightarrow \mathbb{P}^r$$

into a projective space can be described by a very ample line bundle  $L$ . More often, the coordinate ring of  $X$  agrees with the *section ring* of  $L$ , defined by

$$R(L) = \bigoplus_{q \geq 0} H^0(L^q).$$

- Write  $V = H^0(L)$  for global sections and  $r(L) = \dim V - 1$ . Then the symmetric algebra

$$S = \text{Sym}^\bullet V = k \oplus V \oplus S^2 V \oplus S^3 V \oplus \dots$$

is a polynomial ring in  $r + 1$  variables.

- The section ring  $R(L)$  is a finitely generated graded  $S$ -module.

## Example

Let  $X = \mathbb{P}^1$ . The coordinate ring of  $X$  is  $S = k[x_0, x_1]$ . Let  $L = \mathcal{O}_{\mathbb{P}^1}(d)$ . Then the global sections

$$V = H^0(L) = S_d = \langle x_0^d, x_0^{d-1}x_1, \dots, x_0x_1^{d-1}, x_1^d \rangle, \quad r(L) = d.$$

Thus  $L$  gives an embedding

$$\mathbb{P}^1 \xrightarrow{|L|} \mathbb{P}(V) = \mathbb{P}^d$$

$$[x_0 : x_1] \mapsto [x_0^d : x_0^{d-1}x_1 : \dots : x_0x_1^{d-1} : x_1^d]$$

The section ring  $R(L)$  of  $L$  is the same as the coordinate ring of the image in  $\mathbb{P}^d$ .

## Definition of Koszul group $K_{p,q}$

Consider  $\mathbb{C} = S/(x_0, \dots, x_r)$  and it has a Koszul resolution

$$\dots \longrightarrow \bigoplus^{r+1} S(-1) \longrightarrow S \longrightarrow \mathbb{C} \longrightarrow 0$$

So for a finitely generated graded  $S$ -module  $M$ , we define

$$K_{p,q}(M) = \mathrm{Tor}_p^S(M, \mathbb{C})_{p+q}.$$

It turns out

$$k_{p,q}(M) := \dim K_{p,q}(M) = \beta_{p,p+q}.$$

For a line bundle  $L$ , we write  $K_{p,q}(L)$  for  $K_{p,q}(R(L))$ .

# Koszul cohomology

- M. Green (1980s) developed Koszul cohomology to compute  $K_{p,q}(L)$  for the section ring of a line bundle.
- In particular, *Koszul cohomology group*  $K_{p,q}(L)$  is defined as the homology at the middle of the Koszul-type complex

$$\wedge^{p+1} H^0(L) \otimes H^0(L^{q-1}) \longrightarrow \wedge^p H^0(L) \otimes H^0(L^q) \longrightarrow \wedge^{p-1} H^0(L) \otimes H^0(L^{q+1})$$

- In this approach, we can have a geometric way to compute Betti numbers for a section ring.

## Definition

We say  $L$  satisfies Property  $N_p$  for  $p \geq 0$ , if in a minimal resolution of  $R(L)$ , one has

$$E_0 = S \text{ and } E_i = \bigoplus S(-i-1) \text{ for } 1 \leq i \leq p,$$

i.e.,

$$\cdots \rightarrow \bigoplus S(-p-1) \rightarrow \cdots \rightarrow \bigoplus S(-3) \rightarrow \bigoplus S(-2) \rightarrow S \rightarrow R(L) \rightarrow 0$$

Equivalently, the Koszul group  $K_{p,q}(L)$  of the section ring  $R(L)$  satisfies

$$K_{i,j}(L) = 0, \text{ for } 0 \leq i \leq p, j \geq 2.$$

- Classically,  $N_0$  property is also called projectively normal.  $N_1$  means the variety is defined by quadrics.
- We illustrate  $N_p$  property using Betti diagram

	0	1	2	$r-1$
0				
1				
2				

$N_0$

	0	1	2	$r-1$
0				
1				
2				

$N_1$

	0	1	2	$r-1$
0				
1				
2				

$N_2$

	0	1	p				$r-1$
0							
1							
2							

# Example: Rational normal scrolls

Consider a vector bundle on  $\mathbb{P}^1$ :

$$E = \bigoplus_0^d \mathcal{O}_{\mathbb{P}^1}(a_i), \text{ with } 0 \leq a_0 \leq a_1 \leq \cdots \leq a_d, \text{ and } a_d \geq 1.$$

Let  $X = \mathbb{P}(E)$  and  $L = \mathcal{O}(1)$  be the tautological bundle. The section ring  $R(L)$  only has the following nonzero Koszul groups

$$K_{0,q}(L) \neq 0 \iff q = 0$$

$$K_{1,q}(L) \neq 0 \iff 1 \leq q \leq d+1$$

	0	1									d+1
0											
1											

## II. Syzygies of curves

- canonical curves
- curves of large degree

# Syzygies of canonical curves

Let  $C$  be a nonsingular projective curve of genus  $g \geq 2$ , let

$$K_C = \Omega_C^1$$

be its canonical bundle that is ample, and let

$$\varphi_K : C \longrightarrow \mathbb{P}^{g-1}$$

be the canonical map. Define the canonical ring of  $C$  to be

$$R(K_C) = \bigoplus_{d \geq 0} H^0(dK_C).$$

# Noether's theorem and Petri's theorem

Theorem (M. Noether, Castelnuovo, Enriques, 1880s-1890s)

*If  $K_C$  is very ample, then  $\varphi_C$  embeds  $C$  projectively normal, i.e.,*

$$C \text{ is non-hyperelliptic} \iff K_C \text{ satisfies } N_0.$$

Theorem (Petri, 1922, also Noether-Enriques-Petri)

*If  $C$  is a non-hyperelliptic canonical curve, then  $C$  is defined by quadrics unless*

- (1)  $C$  is trigonal (i.e.,  $\text{gon}(C) = 3$ ), or*
- (2)  $C$  is a plane quintic (i.e., degree 5 plane curve).*

# Clifford index

## Definition

Let  $C$  be a nonsingular projective curve. The Clifford index of the curve  $C$  is defined by

$$\text{Cliff}(C) = \min_{\text{line bundle } A} \{\deg A - 2(h^0(A) - 1) \mid h^0(A) \geq 2, h^1(A) \geq 2\}.$$

- Many people made research on this invariant, including Martens, Eisenbud, Lange, Schreyer, Lopez, etc.

$\text{Cliff}(C) \geq 0$       Clifford Theorem

$\text{Cliff}(C) = 0 \iff$  hyperelliptic

$\text{Cliff}(C) = 1 \iff$  trigonal, plane quintic (degree 5)

$\text{Cliff}(C) = 2 \iff$  tetragonal (4-gon), plane sextic (degree 6)

$\text{Cliff}(C) = 3 \iff$  pentagonal, plane septic (degree 7),  
c.i. of cubics in  $\mathbb{P}^3$



# Green's conjecture

## Conjecture (M. Green, 1984)

Let  $C$  be a nonsingular projective curve, then

$$\text{Cliff}(C) > 1 \iff K_C \text{ satisfies } N_l$$

	0	1	2	Cliff(C)			g-3	g-2
0	■							
1		■	■	■	■			
2				■	■	■	■	
3								■

There a lot of work on this conjecture including Schreyer, Voisin, Kemeny, Aprodu, Farkas, Ortega, Park, etc.

# Syzygies of curves of large degree

- Consider a curve  $C$  and a line bundle  $L$ .
- If  $\deg L$  is large enough, then  $H^1(C, L) = 0$ . This basically means the Betti diagram of  $R(L)$  has only three rows and looks like in the following shape

	0	1								r-1
0	■	□	□	□	□	□	□	□	□	□
1	□	■	■	■	■	■	■	■	□	□
2	□	□	□	□	■	■	■	■	■	■

## Theorem (Mumford, 1970)

Let  $L_1$  and  $L_2$  be two line bundles on a curve  $C$  of genus  $g$  such that  $\deg L_1 \geq 2g + 1$  and  $\deg L_2 \geq 2g$ . Then the multiplication map

$$H^0(L_1) \otimes H^0(L_2) \longrightarrow H^0(L_1 \otimes L_2)$$

is surjective. In particular, if  $L$  is a line bundle on a curve  $C$  of degree  $\geq 2g + 1$ , then  $L$  satisfies Property  $N_0$ , i.e., the morphism

$$S^m H^0(L) \longrightarrow H^0(L^m)$$

is surjective for all  $m \geq 0$ .

- Castelnuovo (1893)  $N_0$  if  $\deg L \geq 2g + 1$
- Mattuck-Mayer (1963)  $N_1$  if  $\deg L \geq 2g + 2$ .

# Green's $2g + 1 + p$ -theorem

## Theorem (Green, 1984)

Let  $L$  be a line bundle on a curve of degree  $\geq 2g + 1 + p$ ,  $p \geq 0$ , then  $L$  satisfies Property  $N_p$ .

- This theorem greatly extended and revealed a full picture of many classic results.
- The theorem also initiated the study of  $N_p$  property for higher dimensional varieties.
- The Betti diagram of the line bundle in the theorem

	0	1				p				r-1
0	■									
1		■	■	■	■	■	■	?		
2							■	■	■	■
							g-1			0

Understand

$$K_{i,1}(L), \text{ for } r - g \leq i \leq r - 1.$$

**Observation:** Suppose  $C$  carries a free pencil  $g_e^1$ , i.e.,

$$C \xrightarrow{e:1} \mathbb{P}^1$$

Fibers span  $(e - 1)$ -linear spaces in  $\mathbb{P}^r$  and swipe out a rational normal scroll  $S$  of dimension  $e$  containing  $C$ , i.e.,

$$C \hookrightarrow S \hookrightarrow \mathbb{P}^r$$

This means

$$K_{p,1}(S) \hookrightarrow K_{p,1}(C)$$

Thus  $K_{r-e}(C) \neq 0$ .

## Definition

Let  $C$  be a nonsingular projective curve. The gonality  $\text{gon}(C)$  of  $C$  is the least degree of non constant morphisms  $C \rightarrow \mathbb{P}^1$ .

$$\text{gon}(C) = \min\{d \mid C \text{ carries a } g_d^1\}.$$

## Example

- Let  $C \subset \mathbb{P}^2$  be a degree  $d$  plan curve, then  $\text{gon}(C) = d - 1$ .
- If  $\text{gon}(C) = 2$ , then  $C$  is a hyperelliptic curve.
- Using Brill-Noether theory, one has

$$\text{gon}(X) \leq \left\lceil \frac{g+3}{2} \right\rceil$$

- $\text{Cliff}(C) + 2 \leq \text{gon}(C) \leq \text{Cliff}(C) + 3$ .

**Gonality conjecture:** For  $\deg L \gg 0$ ,

$$K_{p,1}(L) \neq 0 \iff 1 \leq p \leq r - \text{gon}(C),$$

which is reduced to

$$K_{p,1}(L) = 0 \text{ for } r - \text{gon}(C) + 1 \leq p \leq r - 1.$$

- Very small value of gonality: Green (1984), Teixidor Bigas (2007).
- A general curve of each gonality: Aprodu-Voisin (2003), Aprodu (2004).

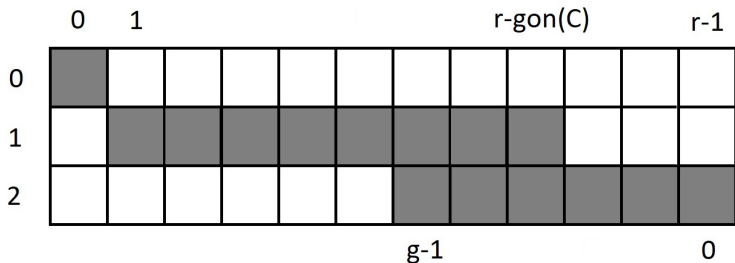
**Effective gonality problem:** Find optimal bounds for  $\deg L$

## Theorem (Ein-Lazarsfeld, 2015)

Let  $L$  be a line bundle on a curve. If  $\deg L \gg 0$ , then

$$K_{p,1}(L) \neq 0 \iff 1 \leq p \leq r - \text{gon}(C)$$

where  $r = h^0(L) - 1$ .



# What about the effective gonality problem?

- Green–Lazarsfeld (1986): expected  $\deg L \geq 2g + \text{gon}(C) - 1$ .
- Ein–Lazarsfeld (2015): proved  $\deg L \geq g^3$ .
- Rathmann (2016): proved  $\deg L \geq 4g - 3$ .
- Castryck (2017): showed if  $C \subseteq \mathbb{P}H^0(C, H) = \mathbb{P}^2$  is a plane curve and  $L := \omega_C \otimes H$ , then  $\deg L = 2g + \text{gon}(C) - 1$  but  $K_{r(L) - \text{gon}(C) + 1, 1}(C; L) \neq 0$ .
- Farkas–Kemeny (2019): showed  $\deg L \geq 2g + \text{gon}(C) - 1$  and  $g \geq 4$  for general curves. Also notice that  $L = \omega_C(\xi)$ , where  $\xi$  is an effective divisor of degree  $\text{gon}(C)$ , then  $\deg L = 2g + \text{gon}(C) - 2$  but  $K_{r(L) - \text{gon}(C) + 1, 1}(C; L) \neq 0$ .

## Theorem (Niu-Park, 2024)

Let  $C$  be a smooth projective curve of genus  $g \geq 2$ , and  $L$  be a line bundle on  $C$ . If  $\deg L \geq 2g + \text{gon}(C) - 2$ , then

$$K_{p,1}(C; L) \neq 0 \iff 1 \leq p \leq r(L) - \text{gon}(C)$$

except for the following two cases:

- (1)  $C \subseteq \mathbb{P}H^0(C, H) = \mathbb{P}^2$  is a plane curve of degree  $\geq 4$ , and  $L = \omega_C \otimes H$ . In this case,  $\deg L = 2g + \text{gon}(C) - 1$ .
- (2)  $C$  is arbitrary, and  $L = \omega_C(\xi)$  for an effective divisor  $\xi$  of degree  $\text{gon}(C)$  with  $\dim |\xi| = 1$ . In this case,  $\deg L = 2g + \text{gon}(C) - 2$ .

In the exceptional cases, we have

$$K_{p,1}(C; L) \neq 0 \iff 1 \leq p \leq r(L) - \text{gon}(C) + 1.$$

### III. Secant varieties of curves and others

# Secant varieties of curves

Consider a nonsingular curve

$$C \hookrightarrow \mathbb{P}^r$$

of genus  $g$  embedded by a very ample line bundle  $L$ . For an integer  $k \geq 0$ , the  $k$ -th secant variety

$$\Sigma_k \subseteq \mathbb{P}^r$$

to the curve is the Zariski closure of the union of  $(k+1)$ -secant  $k$ -planes to  $C$ . One has the natural inclusions

$$C = \Sigma_0 \subseteq \Sigma_1 \subseteq \dots \subseteq \Sigma_k \subseteq \mathbb{P}^r.$$

If  $\deg L \geq 2g + 2k + 1$ , then

$$\dim \Sigma_k = 2k + 1.$$

# Syzygies of secant varieties

## Theorem (Ein-Niu-Park, 2020)

Let  $C$  be a nonsingular projective curve of genus  $g$  and let  $L$  be a line bundle. If  $\deg L \geq 2g + 2k + 1 + p$  for integers  $k, p \geq 0$ , then the  $k$ -th secant variety  $\Sigma_k$  of  $C$  in  $\mathbb{P}(H^0(L))$  satisfies the property  $N_{k+2,p}$ .

	0	1	2	
0	■	□	□	□
1	□	■	■	■
2	□	□	□	■

$k=0, p=2$

	0	1	2	
0	■	□	□	□
1	□	□	□	□
2	□	■	■	■
3	□	□	□	■
4	□	□	□	■

$k=1, p=2$

	0	1	2	
0	■	□	□	□
1	□	□	□	□
2	□	□	□	□
3	□	■	■	■
4	□	□	□	■
5	□	□	□	■
6	□	□	□	■

$k=3, p=2$

So the overall shape of the Betti diagram for  $k$ -th secant variety looks like, where  $e = \text{codim } \Sigma_k$ ,

	0	1	2	...	$e - g - 1$	$e - g$	$e - g + 1$	...	$e$
0	1	-	-	...	-	-	-	...	-
1	-	-	-	...	-	-	-	...	-
$\vdots$	$\vdots$	$\vdots$	$\vdots$		$\vdots$	$\vdots$	$\vdots$		$\vdots$
$k$	-	-	-	...	-	-	-	...	-
$k + 1$	-	*	*	...	*	*	?	...	?
$k + 2$	-	-	-	...	-	-	?	...	?
$\vdots$	$\vdots$	$\vdots$	$\vdots$		$\vdots$	$\vdots$	$\vdots$		$\vdots$
$2k + 2$	-	-	-	...	-	-	?	...	?

For  $\deg L \gg 0$ ,

$$\begin{array}{c}
 * \cdots * \overbrace{\cdots \cdots \cdots}^{\gamma^{k+1}(C)} \\
 \vdots \\
 * \cdots * * \cdots * \overbrace{\cdots \cdots \cdots}^{\gamma^2(C)} \\
 * \cdots * * \cdots * * \cdots * \overbrace{\cdots \cdots \cdots}^{\gamma^1(C)} \\
 \underbrace{* \cdots * * \cdots * * \cdots * * \cdots *}_{g}
 \end{array}$$

where  $\gamma^q(C) := \min\{d - q \mid C \text{ carries a linear series } g_d^q\}$  (gonality sequence). In particular,  $\text{gon}(C) = \gamma^1(C) + 1$ .

# Ideas and approach

- For an integer  $k \geq 0$ , denote by  $C_k$  the  $k$ -th symmetric product of  $C$ , which parameterizes effective divisors of degree  $k$  on  $C$ . It is a smooth projective variety of dimension  $k$ .
- There is a quotient map

$$q : C^k \longrightarrow C_k.$$

For a line bundle  $L$  on  $C$ , the box product

$$L^{\boxtimes k} := \underbrace{L \boxtimes \cdots \boxtimes L}_{k \text{ times}} \quad \text{on } C^k$$

has a  $S_k$ -action and descends to a line bundle  $T_{k,L}$  on  $C_k$ .

- It was observed by Voisin that the Koszul groups  $K_{p,q}(L)$  can be computed on the symmetric product  $C_k$  for appropriate  $k$  using some vector bundles related to  $T_{k,L}$ . This is the essential ideas used in above results on gonality theorem.

Collaborated with Peter Yi Wei, we recently show that

### Theorem (In progress)

*Let  $C$  be a nonsingular projective curve of genus  $g$ . Let  $k \geq 0$  be an integer. For any line bundle  $L$  of  $\deg L \geq kg + 2g + p + 1$ , the line bundle  $T_{k+1,L}$  on  $C_{k+1}$  satisfy  $N_p$  property.*

Thank you!