

# Invariant Surfaces in $\mathbb{S}^3$ Based on Generalized Elastic Curves

# Álvaro Pámpano Llarena

63rd Texas Geometry and Topology Conference (Texas Tech University)

Lubbock, April 24-26 (2020)

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• <u>1744</u>: L. Euler.

Described the shape of planar elasticae (with constraint on the length). Partially solved by J. Bernoulli, 1692-1694.

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We follow here this approach.

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#### 1985: U. Pinkall

Link between Willmore surfaces and elastica.

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3. Part III. Vertical Lifts

# Part I

## **Generalized Elastic Curves**

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For fixed real constants  $\mu$ ,  $p \in \mathbb{R}$ , we consider the biparametric family of curvature energy functionals

$$\mathbf{\Theta}(\gamma) \equiv \mathbf{\Theta}_{\mu,p}(\gamma) := \int_{\gamma} (\kappa - \mu)^p = \int_o^L (\kappa(s) - \mu)^p \, ds \, .$$

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• We are mainly interested on the space of closed curves.

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The biparametric family of functionals

$$oldsymbol{\Theta}_{\mu, p}(\gamma) = \int_{\gamma} (\kappa - \mu)^p \, ds$$

includes the following classical energies:

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- If p = 2 and  $\mu \neq 0$ ,  $\Theta$  is the bending energy (circular at rest).
- If p = 1/2, we obtain an extension of an energy studied by Blaschke in 1930.

## Variational Problem

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# Variational Problem

For simplicity we denote  $P(\kappa) := (\kappa - \mu)^p$ . Then,

#### **Euler-Lagrange Equation**

Regardless of the boundary conditions, a critical curve  $\gamma$  in  $\mathbb{S}^2(\rho)$  satisfies

$$\dot{P}_{ss} + \dot{P}(\kappa^2 + \rho) - \kappa P = 0.$$
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#### **Vector Fields Along Critical Curves**

Consider  $S^2(\rho)$  embedded as a totally geodesic surface of  $S^3(\rho)$ . Then, we have

$$\mathcal{J} = \left(\kappa \dot{P} - P\right) T + \dot{P}_s N, \qquad \mathcal{I} = \dot{P} B$$

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where  $\{T, N, B\}$  denotes the Frenet frame of  $\gamma$  in  $\mathbb{S}^{3}(\rho)$ .

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3. In this case, using spherical coordinates in  $\mathbb{S}^2(\rho) \subset \mathbb{R}^3$ , we get the following parametrization of the critical curves:

$$\gamma(s) = \frac{1}{\sqrt{\rho \, d}} \left( \sqrt{\rho} \, \dot{P}, \sqrt{d - \rho \, \dot{P}^2} \sin \Psi(s), \sqrt{d - \rho \, \dot{P}^2} \cos \Psi(s) \right)$$

where

$$\Psi(s) = \sqrt{
ho d} \int rac{\kappa \dot{P} - P}{d - 
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# **Closure Condition**

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- A necessary, but not sufficient, condition for γ to close up is that the curvature κ(s) is periodic.
- Assume  $\kappa(s)$  is periodic (of period  $\varrho$ ). Then,

#### **Closure Condition**

The critical curve  $\gamma(s)$  in  $\mathbb{S}^2(\rho)$  is closed, if and only if,

$$\Lambda(d) = \sqrt{\rho d} \int_o^{\varrho} \frac{\kappa \dot{P} - P}{d - \rho \dot{P}^2} ds = 2 \frac{n}{m} \pi \,,$$

for any integers n and m.

# Geometric Description (1)

We fix p = 1/2 (i.e. the extended Blaschke's curvature energy).
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(C)  $\mu = -1$ 

# Geometric Description (2)

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(E) 
$$\mu = -0.1$$

# Geometric Description (2)



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# Geometric Description (3)



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# Geometric Description (3)



• They never cut the axis  $x_1 = 0$  (the equator), since  $\dot{P} = \frac{1}{2\sqrt{\kappa-\mu}} > 0.$ 

# Part II

# **Binormal Evolution**

# Killing Vector Fields

A vector field W along  $\gamma$ , which infinitesimally preserves unit speed parametrization is said to be a Killing vector field along  $\gamma$  if it evolves in the direction of W without changing shape, only position. That is, the following equations hold

$$W(v) = W(\kappa) = 0$$

along  $\gamma.$  (Langer & Singer, 1984)

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**Proposition** (Langer & Singer, 1984)

The vector fields  $\mathcal{I}$  and  $\mathcal{J}$  are Killing vector fields along critical curves. (We are mainly interested in  $\mathcal{I}$ .)

 Killing vector fields along γ can be extended to Killing vector fields on the whole S<sup>3</sup>(ρ). The extension is unique.

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Let  $\gamma(s) \subset S^2(\rho)$  be any generalized elastic curve. (We consider  $S^2(\rho) \subset S^3(\rho)$  and  $\gamma$  being planar, i.e.  $\tau = 0$ .)

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1. Consider the Killing vector field along  $\gamma$  in the direction of the (constant) binormal vector field:

$$\mathcal{I} = \dot{P}(\kappa)B$$
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Let's denote by ξ the (unique) extension to a Killing vector field of S<sup>3</sup>(ρ). (It can be assumed to be: ξ = λ<sub>1</sub>X<sub>1</sub> + λ<sub>2</sub>X<sub>2</sub>.)

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- 2. Let's denote by  $\xi$  the (unique) extension to a Killing vector field of  $\mathbb{S}^{3}(\rho)$ . (It can be assumed to be:  $\xi = \lambda_{1}X_{1} + \lambda_{2}X_{2}$ .)
- Since S<sup>3</sup>(ρ) is complete, the one-parameter group of isometries determined by ξ is {φ<sub>t</sub>, t ∈ ℝ}.
- 4. We construct the binormal evolution surface (Garay & --, 2016)

$$S_{\gamma} := \{x(s,t) := \phi_t(\gamma(s))\}.$$

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Theorem (Arroyo, Garay & --, 2017)

The binormal evolution surface  $S_{\gamma}$  is either a flat isoparametric surface (when  $\kappa(s) = \kappa_o$  is constant); or, it is a rotational surface (when  $\kappa(s)$  is not constant).

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#### **Theorem** ( --, 2018)

The binormal evolution surface  $S_{\gamma}$  is a linear Weingarten surface. It verifies:

 $\kappa_1 = a\kappa_2 + b$ , ( $\kappa_i$  principal curvatures)

for a = p/(p-1) and  $b = -\mu/(p-1)$ .

A Weingarten surface in  $\mathbb{S}^3(\rho)$  is a surface where the two principal curvatures ( $\kappa_1$  and  $\kappa_2$ ) satisfy a certain relation  $\Phi(\kappa_1, \kappa_2) = 0$ .

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- Constant Mean Curvature Surfaces (Rotational: Delaunay Surfaces in S<sup>3</sup>(ρ))

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where

- 1.  $\phi_t$  is a rotation, and
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The profile curve  $\gamma$  of a rotational linear Weingarten surface of  $\mathbb{S}^{3}(\rho)$  (for  $a \neq 1$ ) is a planar ( $\gamma(s) \subset \mathbb{S}^{2}(\rho)$ ) generalized elastic curve for  $\mu = -b/(a-1)$  and  $\rho = a/(a-1)$ .

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The case a = 1; rotational surfaces with constant skew curvature. (López & —, 2020)

## Particular Case 1: CMC

Specializing previous characterization we get

Theorem (Arroyo, Garay & --, 2018)

A rotational surface with constant mean curvature H of  $\mathbb{S}^3(\rho)$  is, locally, a binormal evolution surface with initial condition a generalized elastic curve in  $\mathbb{S}^2(\rho)$  for p = 1/2 and  $\mu = -H$ , i.e. for the extended Blaschke's energy

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Basically, we need to understand these critical curves:

• If  $\kappa = \mu$ , we have global minima (acting on the space  $L^1$ ).

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- 2. A totally umbilical sphere; if  $\kappa(s) = |H| \neq 0$ .
#### Local Classification

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- 2. A totally umbilical sphere; if  $\kappa(s) = |H| \neq 0$ .
- 3. A Hopf torus

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if  $\kappa(s) = \kappa_o = -|H| + \sqrt{\rho + H^2}$ .

4. A binormal evolution surface shaped on  $\gamma$  (planar critical curve of extended Blaschke's energy for  $|\mu| = |H|$  with non-constant curvature).

# Illustration (1)



# Illustration (2)



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Binormal evolution surfaces  $S_{\gamma}$  of Point 4 depend greatly on  $\gamma$  (critical curves have always periodic curvature).

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• For this last theorem, we need to consider *n* = 1 in the closure condition of critical curves, which yields to an already known condition.

### Embedded CMC Tori in $\mathbb{S}^{3}(\rho)$

Theorem (Perdomo, 2010)

For any m > 1 and any H such that

$$|H| \in \left(\sqrt{\rho}\cot\frac{\pi}{m}, \sqrt{\rho}\,\frac{m^2-2}{2\sqrt{m^2-1}}
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#### Lawson's Conjecture (Lawson, 1970)

The only embedded minimal tori in  $\mathbb{S}^{3}(\rho)$  is the Clifford torus. (Recently proved in [Brendle, 2013].)

Here, we use the work [Caddeo, Montaldo, Oniciuc & Piu, 2014] (among others) to define them.

Definition

A surface  $S \subset S^3(\rho)$  is said to be biconservative if it satisfies

 $A_{\eta} (\operatorname{grad} H) + H \operatorname{grad} H = 0$ 

where  $\eta$  is the unit normal to S and  $A_{\eta}$  is the shape operator.

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• Non-CMC biconservative surfaces are rotational linear Weingarten surfaces for

$$3\kappa_1+\kappa_2=0\,.$$

Theorem (Montaldo & —, *submitted*)

All non-CMC biconservative surfaces of  $\mathbb{S}^3(\rho)$  are, locally, binormal evolution surfaces with the initial condition critical for

$$oldsymbol{\Theta}(\gamma)\equivoldsymbol{\Theta}_{0,1/4}(\gamma)=\int_{\gamma}\kappa^{1/4}$$

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Theorem (Montaldo & —, *submitted*)

For  $m < 2n < \sqrt{2} m$ , there exists a biparametric family of closed biconservative surfaces. (None of them is embedded.)

### Part III

### **Vertical Lifts**

We denote by  $\widetilde{\pi} : \mathbb{S}^3(\rho) \to \mathbb{S}^2(4\rho)$  the (classical) Hopf fibration.

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We denote by  $\widetilde{\pi}: \mathbb{S}^3(\rho) \to \mathbb{S}^2(4\rho)$  the (classical) Hopf fibration.

- 1. Let  $\gamma$  be an immersed curve in  $\mathbb{S}^2(4\rho)$ .
- 2. The surface  $\widetilde{S}_{\gamma} := \widetilde{\pi}^{-1}(\gamma)$  is an isometrically immersed surface in  $\mathbb{S}^3(\rho)$ .

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- 3. Moreover,  $\tilde{S}_{\gamma}$  is invariant under  $\tilde{\xi}$  (the vertical Killing vector field).

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# Hopf Tori

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4. If γ is closed, then S<sub>γ</sub> is a (flat) torus. However, the horizontal lift of γ may not be closed.
(A condition on the enclosed area is essential, (Arroyo, Barros)

& Garay, 2000).)

#### Horizontal Lift (Base Curve)



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# Horizontal Lift (One Lift)



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# Horizontal Lift (Six Lifts)



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# Horizontal Lift (One Hundred Lifts)



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Consider the biparametric family of energies

$$\mathcal{F}_{\lambda,p}(S) \equiv \mathcal{F}(S) := \int_{S} (H - \lambda)^p \, dA$$

defined on the space of surface immersions in  $\mathbb{S}^{3}(\rho)$ .

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- These energies are kind of *p*-Willmore energies, (Gruber, Toda & Tran, 2019).
- We introduce the notation  $P(H) := (H \lambda)^p$ .

#### **Euler-Lagrange Equation**

A critical point of  $\mathcal{F}(S)$  in  $\mathbb{S}^3(\rho)$  satisfies

$$\Delta P' + 2P' \left( 2H^2 - K + 2\rho \right) - 4PH = 0$$

where  $P' \equiv dP/dH$ .

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For a Hopf tori  $\widetilde{S}_{\gamma}$ , the mean curvature is given by

$$H = \frac{1}{2} \left( \kappa \circ \widetilde{\pi} \right) \qquad \left( \widetilde{\pi} : \mathbb{S}^3(\rho) \to \mathbb{S}^2(4\rho) \right)$$

where  $\kappa$  is the curvature of  $\gamma$  in  $\mathbb{S}^2(4\rho)$ .

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- Let  $\gamma$  be a closed curve in  $\mathbb{S}^2(4\rho)$ .
- Using *H* and the Symmetric Criticality Principle of Palais (Palais, 1979), we get

#### Theorem (-, submitted)

The Hopf torus  $\widetilde{S}_{\gamma} = \widetilde{\pi}^{-1}(\gamma)$  based on  $\gamma$  is a critical point of  $\mathcal{F}(S)$  if and only if  $\gamma$  is a generalized elastic curve in  $\mathbb{S}^2(4\rho)$  with  $\mu = \lambda/2$ , i.e.,

$$\boldsymbol{\Theta}(\gamma) = \int_{\gamma} (\kappa - \mu)^{\boldsymbol{p}}$$

### **Correspondence Result**

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**Theorem** (—, *submitted*) The Hopf torus  $\widetilde{S}_{\gamma}$  based on  $\gamma$  is critical for

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in  $\mathbb{S}^3(\rho)$  if and only if the binormal evolution torus  $S_{\gamma}$  generated by evolving  $\gamma$  under its associated binormal flow is a (rotational) linear Weingarten torus in  $\mathbb{S}^3(4\rho)$ , i.e. it satisfies

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$$\kappa_1 = a\kappa_2 + b$$

between its principal curvatures  $\kappa_i$ .

 There exists a correspondence between (rotational) linear Weingarten tori and critical *p*-Willmore Hopf tori in S<sup>3</sup>.

We recover the Blaschke's curvature energy (p = 1/2 and  $\mu = 0$ ):

$${oldsymbol \Theta}(\gamma)\equiv {oldsymbol \Theta}_{0,1/2}(\gamma)=\int_\gamma \sqrt{\kappa}$$

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3. There are no simple closed critical curves  $(n \neq 1)$ .

We recover the Blaschke's curvature energy (p = 1/2 and  $\mu = 0$ ):

$${oldsymbol \Theta}(\gamma)\equiv {oldsymbol \Theta}_{0,1/2}(\gamma)=\int_\gamma \sqrt{\kappa}$$

in  $\mathbb{S}^2(4\rho)$ .

- 1. Let  $\gamma$  be a closed critical curve.
- 2. The parameters *n* and *m* in the closure condition satisfy:

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- 5. We consider this critical curve,  $\gamma_{2,3}$ .

# Critical Curve $\gamma_{2,3}$



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## Invariant Surfaces Associated to $\gamma_{2,3}$

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(0) Minimal (Rotational) Torus

## Invariant Surfaces Associated to $\gamma_{2,3}$



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### Consequences

#### Corollary

The Hopf torus  $\widetilde{S}_{\gamma}$  based on  $\gamma_{2,3}$  is critical for

$$\mathcal{F}(S) = \int_{S} \sqrt{H} \, dA$$

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• There is a correspondence between minimal tori and 1/2-Willmore Hopf tori in S<sup>3</sup>.

# THE END

# Thank You!

Acknowledgements: Research partially supported by MINECO-FEDER, PGC2018-098409-B-100 and by Gobierno Vasco, IT1094-16.

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