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Geometry, PDE and Mathematical Physics Seminar

February 11, 2025

Modeling Biological Membranes





Modeling Biological Membranes



W. Helfrich (1973) suggested to study the critical points of

$$\mathcal{H}[\Sigma] := \int_{\Sigma} \left(a \left[H + c_o
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to model biological membranes.

The Helfrich Energy

Let Σ be a compact (with or without boundary) surface. For an embedding $X : \Sigma \to \mathbb{R}^3$ the Helfrich energy is given by

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where the energy parameters are:

- The bending rigidity: a > 0.
- The spontaneous curvature: $c_o \in \mathbb{R}$.
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Gauss-Bonnet Theorem

The total Gaussian curvature term only affects the boundary.

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The Euler-Lagrange equation associated to ${\mathcal H}$ is

$$\Delta(H+c_o)+2(H+c_o)(H[H-c_o]-K)=0,$$

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a fourth order nonlinear elliptic PDE.

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Special Solutions:

1. Constant Mean Curvature Surfaces with $H \equiv -c_o$.

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Special Solutions:

- 1. Constant Mean Curvature Surfaces with $H \equiv -c_o$.
- 2. Right Cylinders over elastic curves (circular at rest), i.e., critical points of

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3. Circular Biconcave Discoids with $H^2 - K = c_o^2$. (Far from the axis of rotation.)

Circular Biconcave Discoids



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Circular Biconcave Discoids



Proposition (López, Palmer & P., Preprint) Let $\psi \in C_o^{\infty}(\Sigma)$ and consider normal variations $\delta X = \psi \nu$, then

$$\delta \mathcal{H}[\Sigma] = 8\pi c_o \psi_{|_{r=0}} \,.$$

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Theorem (Palmer & P., 2022)

An axially symmetric disc critical for \mathcal{H} must be:

- (I) A planar disc $(H \equiv -c_o = 0)$.
- (II) A spherical cap $(H \equiv -c_o \neq 0)$.

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• The surface must be a topological disc. Annular domains in circular biconcave discoids are critical for *H*.

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- 3. We compute that $\mathcal{L}[(H + c_o)z + \nu_3] = 0$.
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- 3. We compute that $\mathcal{L}[(H + c_o)z + \nu_3] = 0$.
- Since the surface is axially symmetric, L[f] is a second order ordinary differential equation.
- 5. Since the surface is regular, our solutions are regular (hence, their derivatives at the cut with the axis of rotation are zero).

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- Since the surface is axially symmetric, L[f] is a second order ordinary differential equation.
- 5. Since the surface is regular, our solutions are regular (hence, their derivatives at the cut with the axis of rotation are zero).
- 6. In conclusion, they are multiples of each other:

$$A(H+c_o)=(H+c_o)z+\nu_3.$$

1. Taking variations $\delta X = E_3$, we compute the flux formula

$$0 = \delta \mathcal{H}[\Omega] = \int_{\Omega} \mathcal{L}[H + c_o] \nu_3 d\Sigma + \oint_{\partial \Omega} (H + c_o)^2 \partial_n \left(\frac{\nu_3}{H + c_o} + z\right) ds,$$

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for **any** subdomain $\Omega \subset \Sigma$.

- 2. Since Σ is critical for \mathcal{H} , $\mathcal{L}[H + c_o] = 0$ holds.
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$$r_1(H+c_o)^2\partial_n(\star)+r_2(H+c_o)^2\partial_n(\star)=0$$

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4. Since Σ is a topological disc, we can let $r_2 \rightarrow 0$.

1. Taking variations $\delta X = E_3$, we compute the flux formula

$$0 = \delta \mathcal{H}[\Omega] = \int_{\Omega} \mathcal{L}[H + c_o] \nu_3 d\Sigma + \oint_{\partial \Omega} (H + c_o)^2 \partial_n \left(\frac{\nu_3}{H + c_o} + z\right) ds,$$

for **any** subdomain $\Omega \subset \Sigma$.

- 2. Since Σ is critical for \mathcal{H} , $\mathcal{L}[H + c_o] = 0$ holds.
- 3. Since Σ is axially symmetric,

$$r_1(H+c_o)^2\partial_n(\star)+r_2(H+c_o)^2\partial_n(\star)=0$$

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- 4. Since Σ is a topological disc, we can let $r_2 \rightarrow 0$.
- 5. Hence, $H + c_o \equiv 0$, or $(\star) = A$ holds.

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The reduced membrane equation is the Euler-Lagrange equation for

$$\mathcal{G}[\Sigma] := \int_{\Sigma} \frac{1}{z^2} d\Sigma - 2c_o \int_{\Omega} \frac{1}{z^2} dV = \widetilde{\mathcal{A}}[\Sigma] - 2c_o \int_{\Omega} |z| d\widetilde{V}.$$

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Solutions can be viewed as:

- Capillary surfaces with constant gravity in \mathbb{H}^3 .
- Weighted CMC surfaces for the density $\phi = -2 \log |z|$.
- Extended (-2)-singular minimal surfaces.

The reduced membrane equation is the Euler-Lagrange equation for

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Theorem (Palmer & P., 2022)

A sufficiently regular immersion satisfying the reduced membrane equation is critical for the Helfrich energy \mathcal{H} .

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• The right cylinders over elastic curves satisfy the reduced membrane equation.

Modified (Conformal) Gauss Map

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For a real constant $\mathit{c_o}$ we define the map $Y^{\mathit{c_o}}:\Sigma\to\mathbb{S}^4_1\subset\mathbb{E}^5_1$ by

 $Y^{c_o} := (H + c_o) \underline{X} + (\nu, q, q),$

where $q := X \cdot \nu$ is the support function and

$$\underline{X} := \left(X, \frac{X^2 - 1}{2}, \frac{X^2 + 1}{2}\right).$$

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$$\underline{X} := \left(X, \frac{X^2 - 1}{2}, \frac{X^2 + 1}{2}\right).$$

Theorem (Palmer & P., 2022)

The immersion $X : \Sigma \to \mathbb{R}^3$ is critical for the Helfrich energy \mathcal{H} with respect to compactly supported variations if and only if

$$\Delta Y^{c_o} + \|dY^{c_o}\|^2 Y^{c_o} = 2c_o(0,0,0,1,1)^T$$

(The map Y^{c_o} fails to be an immersion where $H^2 - K = c_o^2$.)

Assume that Y^{c_0} lies in the hyperplane $\langle Y^{c_0}, \omega \rangle = 0$. Depending on the causal character of ω we have:

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- 3. Case $\omega := (0, 0, 1, 0, 0)$ is a spacelike vector. Then,

$$H + c_o = -\frac{\nu_3}{z}$$

(The Reduced Membrane Equation.)

Second Variation Formula

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Theorem (Palmer & P., 2024)

Let $X : \Sigma \to \mathbb{R}^3$ be an immersion critical for the Helfrich energy \mathcal{H} satisfying the reduced membrane equation. Then, for every $f \in \mathcal{C}^{\infty}_o(\Sigma)$ and normal variations $\delta X = f\nu$,

$$\delta^2 \mathcal{H}[\Sigma] = \int_{\Sigma} f F[f] \, d\Sigma + \frac{1}{2} \int_{\partial \Sigma} L[f] \, \partial_n f \, ds \,,$$

where

$$F[f] := \frac{1}{2} \left(P^* + \frac{2}{z^2} \right) \circ P[f].$$

(Here, *P* is the operator arising as twice the variation of the quantity $H + \nu_3/z$, *P*^{*} is its adjoint operator, and *L* comes from twice the variation of *H*.)

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(Here, *P* is the operator arising as twice the variation of the quantity $H + \nu_3/z$, *P*^{*} is its adjoint operator, and *L* comes from twice the variation of *H*.)

• Compute the second variation through the flux formula.

The Operator P as a Jacobi Operator

If $z \neq 0$ everywhere on the surface,

$$\delta^{2}\mathcal{H}[\Sigma] = \frac{1}{2}\int_{\Sigma} P[f]\left(P + \frac{2}{z^{2}}\right)[f]\,d\Sigma + \oint_{\partial\Sigma} (\partial_{n}f)^{2}\frac{\partial_{n}z}{z}\,ds\,.$$

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Proposition (Palmer & P., 2024)

Let $X : \Sigma \to \mathbb{R}^3$ be an immersion satisfying the reduced membrane equation. Then, for every $f \in C_o^{\infty}(\Sigma)$ and admissible normal variations $\delta X = f\nu$,

$$\delta^2 \mathcal{G}[\Sigma] = -\int_{\Sigma} \frac{f P[f]}{z^2} d\Sigma.$$

Admissible variations are those that preserve the (hyperbolic) gravitational potential energy.



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Theorem (Palmer & P., 2024)

Above surface Σ_0 is embedded in a one parameter family of axially symmetric solutions of the reduced membrane equation (parameterized by c_o) which all share the same boundary circle.



Theorem (Palmer & P., 2024)

Above surface Σ_0 is embedded in a one parameter family of axially symmetric solutions of the reduced membrane equation (parameterized by c_o) which all share the same boundary circle. Precisely, at Σ_0 , a non-axially symmetric branch bifurcates.



Theorem (Palmer & P., 2024)

Subdomains of Σ_0 are stable and superdomains of Σ_0 are unstable for the functional \mathcal{G} .

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Bifurcating Branch



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Conjecture

It is a subcritical pitchfork bifurcation.

Bifurcating Branch



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Conjecture

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Applications to Closed Helfrich Surfaces

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Theorem (López, Palmer & P., Preprint)

Let Σ be a closed surface and $X : \Sigma \longrightarrow \mathbb{R}^3$ a \mathcal{C}^3 immersion satisfying the reduced membrane equation. Then, $X(\Sigma)$ is a Helfrich surface which intersects the plane $\{z = 0\}$ orthogonally in geodesic circles.

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If, in addition, $\partial_n H$ is constant along any connected component of $X(\Sigma) \cap \{z = 0\}$, then the surface is axially symmetric.

Profile Curves



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- On the top part (and bottom), ν_3 has at least one change of sign.

First Surfaces in the Family



THE END

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Thank You!