



The Euler-Helfrich Variational Problem

Álvaro Pámpano Llarena

PDGMP Seminar
Texas Tech University

Lubbock, March 17 (2021)

Variational Problems for Surfaces

Let $X : \Sigma \rightarrow \mathbb{R}^3$ be an **immersion** of an oriented **surface** Σ .

Variational Problems for Surfaces

Let $X : \Sigma \rightarrow \mathbb{R}^3$ be an **immersion** of an oriented **surface** Σ .

- **J. Lagrange**: The **area (functional)**

$$\mathcal{A}[\Sigma] := \int_{\Sigma} d\Sigma.$$

Variational Problems for Surfaces

Let $X : \Sigma \rightarrow \mathbb{R}^3$ be an **immersion** of an oriented **surface** Σ .

- **J. Lagrange**: The **area (functional)**

$$\mathcal{A}[\Sigma] := \int_{\Sigma} d\Sigma.$$

- **S. Germain**: The **bending energy** (aka the **Willmore energy**)

$$\mathcal{W}[\Sigma] := \int_{\Sigma} H^2 d\Sigma.$$

Variational Problems for Surfaces

Let $X : \Sigma \rightarrow \mathbb{R}^3$ be an **immersion** of an oriented **surface** Σ .

- **J. Lagrange**: The **area (functional)**

$$\mathcal{A}[\Sigma] := \int_{\Sigma} d\Sigma.$$

- **S. Germain**: The **bending energy** (aka the **Willmore energy**)

$$\mathcal{W}[\Sigma] := \int_{\Sigma} H^2 d\Sigma.$$

- **W. Helfrich**: The **Helfrich energy**

$$\mathcal{H}[\Sigma] := \int_{\Sigma} \left(a[H + c_0]^2 + bK \right) d\Sigma.$$

The Helfrich Energy

For an **embedding** $X : \Sigma \rightarrow \mathbb{R}^3$ the **Helfrich energy** is given by

$$\mathcal{H}[\Sigma] := \int_{\Sigma} \left(a[H + c_0]^2 + bK \right) d\Sigma,$$

The Helfrich Energy

For an **embedding** $X : \Sigma \rightarrow \mathbb{R}^3$ the **Helfrich energy** is given by

$$\mathcal{H}[\Sigma] := \int_{\Sigma} \left(a[H + c_0]^2 + bK \right) d\Sigma,$$

where the **energy parameters** are:

- The **bending rigidity**: $a > 0$.

The Helfrich Energy

For an **embedding** $X : \Sigma \rightarrow \mathbb{R}^3$ the **Helfrich energy** is given by

$$\mathcal{H}[\Sigma] := \int_{\Sigma} \left(a[H + c_0]^2 + bK \right) d\Sigma,$$

where the **energy parameters** are:

- The **bending rigidity**: $a > 0$.
- The **saddle-splay modulus**: $b \in \mathbb{R}$.

The Helfrich Energy

For an **embedding** $X : \Sigma \rightarrow \mathbb{R}^3$ the **Helfrich energy** is given by

$$\mathcal{H}[\Sigma] := \int_{\Sigma} \left(a[H + c_0]^2 + bK \right) d\Sigma,$$

where the **energy parameters** are:

- The **bending rigidity**: $a > 0$.
- The **saddle-splay modulus**: $b \in \mathbb{R}$.
- The **spontaneous curvature**: $c_0 \in \mathbb{R}$.

The Helfrich Energy

For an **embedding** $X : \Sigma \rightarrow \mathbb{R}^3$ the **Helfrich energy** is given by

$$\mathcal{H}[\Sigma] := \int_{\Sigma} \left(a[H + c_0]^2 + bK \right) d\Sigma,$$

where the **energy parameters** are:

- The **bending rigidity**: $a > 0$.
- The **saddle-splay modulus**: $b \in \mathbb{R}$.
- The **spontaneous curvature**: $c_0 \in \mathbb{R}$.

Physical Process

Model **lipid bilayers** formed from a double layer of **phospholipids** (a **hydrophilic** head and a **hydrophobic** tail). These **membranes** tend to **close**.

Boundary Problems

Assume that Σ is a connected, oriented, compact **surface with boundary** $\partial\Sigma$ (positively oriented).

Boundary Problems

Assume that Σ is a connected, oriented, compact **surface with boundary** $\partial\Sigma$ (positively oriented).

Different problems depending on the nature of $\partial\Sigma$:

Boundary Problems

Assume that Σ is a connected, oriented, compact **surface with boundary** $\partial\Sigma$ (positively oriented).

Different problems depending on the nature of $\partial\Sigma$:

- The **Free Boundary Problem**. The boundary $\partial\Sigma$ **lies in a fixed supporting surface**.

Boundary Problems

Assume that Σ is a connected, oriented, compact **surface with boundary** $\partial\Sigma$ (positively oriented).

Different problems depending on the nature of $\partial\Sigma$:

- The **Free Boundary Problem**. The boundary $\partial\Sigma$ **lies in a fixed supporting surface**.
- The **Fixed Boundary Problem**. The boundary $\partial\Sigma$ is **prescribed and immovable**.

Boundary Problems

Assume that Σ is a connected, oriented, compact **surface with boundary** $\partial\Sigma$ (positively oriented).

Different problems depending on the nature of $\partial\Sigma$:

- The **Free Boundary Problem**. The boundary $\partial\Sigma$ **lies in a fixed supporting surface**.
- The **Fixed Boundary Problem**. The boundary $\partial\Sigma$ is **prescribed and immovable**.
- The **Thread Problem**. Only the **length** of the boundary $\partial\Sigma$ is prescribed.

Boundary Problems

Assume that Σ is a connected, oriented, compact **surface with boundary** $\partial\Sigma$ (positively oriented).

Different problems depending on the nature of $\partial\Sigma$:

- The **Free Boundary Problem**. The boundary $\partial\Sigma$ **lies in a fixed supporting surface**.
- The **Fixed Boundary Problem**. The boundary $\partial\Sigma$ is **prescribed and immovable**.
- The **Thread Problem**. Only the **length** of the boundary $\partial\Sigma$ is prescribed.
- The **Euler-Helfrich Problem**. The boundary components of $\partial\Sigma$ are **elastic**.

The Euler-Helfrich Problem

The Euler-Helfrich Problem

For an embedding $X : \Sigma \rightarrow \mathbb{R}^3$ we consider the **total energy**

$$E[\Sigma] := \int_{\Sigma} \left(a[H + c_0]^2 + bK \right) d\Sigma + \oint_{\partial\Sigma} (\alpha\kappa^2 + \beta) ds,$$

where $a > 0$, $b \in \mathbb{R}$, $\alpha > 0$ and $\beta \in \mathbb{R}$.

The Euler-Helfrich Problem

The Euler-Helfrich Problem

For an embedding $X : \Sigma \rightarrow \mathbb{R}^3$ we consider the **total energy**

$$E[\Sigma] := \int_{\Sigma} \left(a[H + c_0]^2 + bK \right) d\Sigma + \int_{\partial\Sigma} (\alpha\kappa^2 + \beta) ds,$$

where $a > 0$, $b \in \mathbb{R}$, $\alpha > 0$ and $\beta \in \mathbb{R}$.

Rescaling

Let $X : \Sigma \rightarrow \mathbb{R}^3$ be **critical** for E . Then,

$$2ac_0 \int_{\Sigma} (H + c_0) d\Sigma + \beta \mathcal{L}[\partial\Sigma] = \alpha \int_{\partial\Sigma} \kappa^2 ds.$$

The Euler-Helfrich Problem

The Euler-Helfrich Problem

For an embedding $X : \Sigma \rightarrow \mathbb{R}^3$ we consider the **total energy**

$$E[\Sigma] := \int_{\Sigma} \left(a[H + c_0]^2 + bK \right) d\Sigma + \oint_{\partial\Sigma} (\alpha\kappa^2 + \beta) ds,$$

where $a > 0$, $b \in \mathbb{R}$, $\alpha > 0$ and $\beta \in \mathbb{R}$.

Rescaling

Let $X : \Sigma \rightarrow \mathbb{R}^3$ be **critical** for E . Then,

$$2ac_0 \int_{\Sigma} (H + c_0) d\Sigma + \beta \mathcal{L}[\partial\Sigma] = \alpha \int_{\partial\Sigma} \kappa^2 ds.$$

In particular, if $H + c_0 \equiv 0$ holds, $\beta > 0$.

Euler-Lagrange Equations

Euler-Lagrange Equations

The Euler-Lagrange equations for equilibria of E are:

$$\Delta H + 2(H + c_o)(H[H - c_o] - K) = 0, \quad \text{on } \Sigma$$

Euler-Lagrange Equations

The Euler-Lagrange equations for equilibria of E are:

$$\begin{aligned}\Delta H + 2(H + c_o)(H[H - c_o] - K) &= 0, && \text{on } \Sigma, \\ a(H + c_o) + b\kappa_n &= 0, && \text{on } \partial\Sigma\end{aligned}$$

Euler-Lagrange Equations

The Euler-Lagrange equations for equilibria of E are:

$$\begin{aligned}\Delta H + 2(H + c_o)(H[H - c_o] - K) &= 0, && \text{on } \Sigma, \\ a(H + c_o) + b\kappa_n &= 0, && \text{on } \partial\Sigma, \\ J' \cdot \nu - a\partial_n H + b\tau'_g &= 0, && \text{on } \partial\Sigma\end{aligned}$$

Euler-Lagrange Equations

The Euler-Lagrange equations for equilibria of E are:

$$\begin{aligned}\Delta H + 2(H + c_o)(H[H - c_o] - K) &= 0, && \text{on } \Sigma, \\ a(H + c_o) + b\kappa_n &= 0, && \text{on } \partial\Sigma, \\ J' \cdot \nu - a\partial_n H + b\tau'_g &= 0, && \text{on } \partial\Sigma, \\ J' \cdot n + a(H + c_o)^2 + bK &= 0, && \text{on } \partial\Sigma,\end{aligned}$$

Euler-Lagrange Equations

The Euler-Lagrange equations for equilibria of E are:

$$\begin{aligned}\Delta H + 2(H + c_o)(H[H - c_o] - K) &= 0, && \text{on } \Sigma, \\ a(H + c_o) + b\kappa_n &= 0, && \text{on } \partial\Sigma, \\ J' \cdot \nu - a\partial_n H + b\tau'_g &= 0, && \text{on } \partial\Sigma, \\ J' \cdot n + a(H + c_o)^2 + bK &= 0, && \text{on } \partial\Sigma,\end{aligned}$$

where

$$J := 2\alpha T'' + (3\alpha\kappa^2 - \beta) T$$

is the Noether current associated to translational invariance of elastic curves.

Ground State Equilibria

Assume $H + c_o \equiv 0$ holds on Σ .

Ground State Equilibria

Assume $H + c_o \equiv 0$ holds on Σ . Then, the Euler-Lagrange equations reduce to

$$\begin{aligned} b\kappa_n &= 0, & \text{on } \partial\Sigma, \\ J' \cdot \nu + b\tau'_g &= 0, & \text{on } \partial\Sigma, \\ J' \cdot n - b\tau_g^2 &= 0, & \text{on } \partial\Sigma. \end{aligned}$$

Ground State Equilibria

Assume $H + c_o \equiv 0$ holds on Σ . Then, the Euler-Lagrange equations reduce to

$$\begin{aligned} b\kappa_n &= 0, & \text{on } \partial\Sigma, \\ J' \cdot \nu + b\tau_g' &= 0, & \text{on } \partial\Sigma, \\ J' \cdot n - b\tau_g^2 &= 0, & \text{on } \partial\Sigma. \end{aligned}$$

Boundary Curves

Let $X : \Sigma \rightarrow \mathbb{R}^3$ be an equilibrium with $H + c_o \equiv 0$. Then, each boundary component C is a simple and closed critical curve for

$$F[C] \equiv F_{\mu,\lambda}[C] := \int_C \left([\kappa + \mu]^2 + \lambda \right) ds,$$

Ground State Equilibria

Assume $H + c_o \equiv 0$ holds on Σ . Then, the Euler-Lagrange equations reduce to

$$\begin{aligned} b\kappa_n &= 0, & \text{on } \partial\Sigma, \\ J' \cdot \nu + b\tau'_g &= 0, & \text{on } \partial\Sigma, \\ J' \cdot n - b\tau_g^2 &= 0, & \text{on } \partial\Sigma. \end{aligned}$$

Boundary Curves

Let $X : \Sigma \rightarrow \mathbb{R}^3$ be an equilibrium with $H + c_o \equiv 0$. Then, each boundary component C is a simple and closed critical curve for

$$F[C] \equiv F_{\mu,\lambda}[C] := \int_C \left([\kappa + \mu]^2 + \lambda \right) ds,$$

where $\mu := \pm b/(2\alpha)$ and $\lambda := \beta/\alpha - \mu^2$.

Results of Topological Discs

Equilibria

Let $X : \Sigma \rightarrow \mathbb{R}^3$ be a CMC $H = -c_0$ disc type surface critical for E .

Results of Topological Discs

Equilibria

Let $X : \Sigma \rightarrow \mathbb{R}^3$ be a CMC $H = -c_0$ disc type surface critical for E . Then:

1. Case $b = 0$. The boundary is either a circle of radius $\sqrt{\alpha/\beta}$ or a simple closed elastic curve representing a torus knot of type $G(q, 1)$ for $q > 2$.*

Results of Topological Discs

Equilibria

Let $X : \Sigma \rightarrow \mathbb{R}^3$ be a CMC $H = -c_o$ disc type surface critical for E . Then:

1. Case $b = 0$. The boundary is either a circle of radius $\sqrt{\alpha/\beta}$ or a simple closed elastic curve representing a torus knot of type $G(q, 1)$ for $q > 2$.*
2. Case $b \neq 0$. The surface is a planar disc bounded by a circle of radius $\sqrt{\alpha/\beta}$ and $c_o = 0$.

Results of Topological Discs

Equilibria

Let $X : \Sigma \rightarrow \mathbb{R}^3$ be a CMC $H = -c_o$ disc type surface critical for E . Then:

1. Case $b = 0$. The boundary is either a circle of radius $\sqrt{\alpha/\beta}$ or a simple closed elastic curve representing a torus knot of type $G(q, 1)$ for $q > 2$.*
2. Case $b \neq 0$. The surface is a planar disc bounded by a circle of radius $\sqrt{\alpha/\beta}$ and $c_o = 0$.

Idea of the proof:

- Elastic curves are torus knots $G(q, p)$ with $2p < q$ and the surface is a Seifert surface.

Results of Topological Discs

Equilibria

Let $X : \Sigma \rightarrow \mathbb{R}^3$ be a CMC $H = -c_o$ disc type surface critical for E . Then:

1. Case $b = 0$. The boundary is either a circle of radius $\sqrt{\alpha/\beta}$ or a simple closed elastic curve representing a torus knot of type $G(q, 1)$ for $q > 2$.*
2. Case $b \neq 0$. The surface is a planar disc bounded by a circle of radius $\sqrt{\alpha/\beta}$ and $c_o = 0$.

Idea of the proof:

- Elastic curves are torus knots $G(q, p)$ with $2p < q$ and the surface is a Seifert surface.
- Nitsche's argument involving the Hopf differential.

Disc Type Critical Surfaces ($c_o = 0$ and $b = 0$)

Disc Type Critical Surfaces ($c_o = 0$ and $b = 0$)

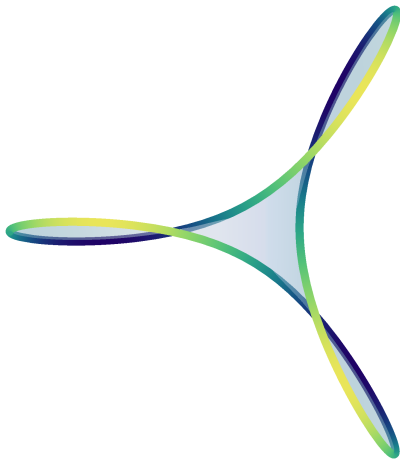


FIGURE: Minimal Surface Spanned by $G(3, 1)$.

Disc Type Critical Surfaces ($c_o = 0$ and $b = 0$)



FIGURE: Minimal Surface Spanned by $G(4, 1)$.

Disc Type Critical Surfaces ($c_o = 0$ and $b = 0$)

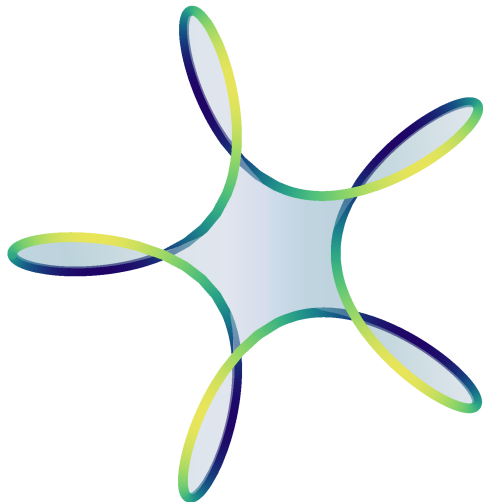


FIGURE: Minimal Surface Spanned by $G(5, 1)$.

Disc Type Critical Surfaces ($c_o = 0$ and $b = 0$)

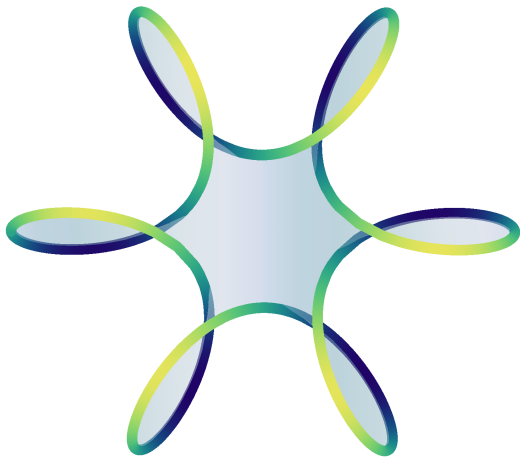


FIGURE: Minimal Surface Spanned by $G(6, 1)$.

Results of Topological Annuli

Results of Topological Annuli

Consider first an **embedding** of a **topological annulus** $X : \Sigma \rightarrow \mathbb{R}^3$ with **CMC** $H = -c_0$ critical for E with $b = 0$.

Results of Topological Annuli

Consider first an embedding of a topological annulus $X : \Sigma \rightarrow \mathbb{R}^3$ with CMC $H = -c_0$ critical for E with $b = 0$.

- Boundary curves are simple and closed elastic curves.

Results of Topological Annuli

Consider first an **embedding** of a **topological annulus** $X : \Sigma \rightarrow \mathbb{R}^3$ with **CMC** $H = -c_0$ critical for E with $b = 0$.

- Boundary curves are **simple and closed elastic curves**.
- If they are **circles of radii** $\sqrt{\alpha/\beta}$, plenty of examples: **Delaunay surfaces, Riemann's minimal examples,...**

Results of Topological Annuli

Consider first an **embedding** of a **topological annulus** $X : \Sigma \rightarrow \mathbb{R}^3$ with **CMC** $H = -c_0$ critical for E with $b = 0$.

- Boundary curves are **simple and closed elastic curves**.
- If they are **circles of radii** $\sqrt{\alpha/\beta}$, plenty of examples: **Delaunay surfaces**, **Riemann's minimal examples**,...
- Otherwise, boundary components are **torus knots** $G(q, p)$ with $2p < q$.

Results of Topological Annuli

Consider first an **embedding** of a **topological annulus** $X : \Sigma \rightarrow \mathbb{R}^3$ with **CMC** $H = -c_0$ critical for E with $b = 0$.

- Boundary curves are **simple and closed elastic curves**.
- If they are **circles of radii** $\sqrt{\alpha/\beta}$, plenty of examples: **Delaunay surfaces**, **Riemann's minimal examples**,...
- Otherwise, boundary components are **torus knots** $G(q, p)$ with $2p < q$.
- The **boundary knots** may not be unknotted, although they are **of the same type**.

Results of Topological Annuli

Consider first an **embedding** of a **topological annulus** $X : \Sigma \rightarrow \mathbb{R}^3$ with **CMC** $H = -c_0$ critical for E with $b = 0$.

- Boundary curves are **simple and closed elastic curves**.
- If they are **circles of radii** $\sqrt{\alpha/\beta}$, plenty of examples: **Delaunay surfaces**, **Riemann's minimal examples**,...
- Otherwise, boundary components are **torus knots** $G(q, p)$ with $2p < q$.
- The **boundary knots** may not be unknotted, although they are **of the same type**.
- Algorithm based on the **mean curvature flow** for fixed boundary.

Critical Annulus ($c_o = 0$ and $b = 0$)

Critical Annulus ($c_o = 0$ and $b = 0$)

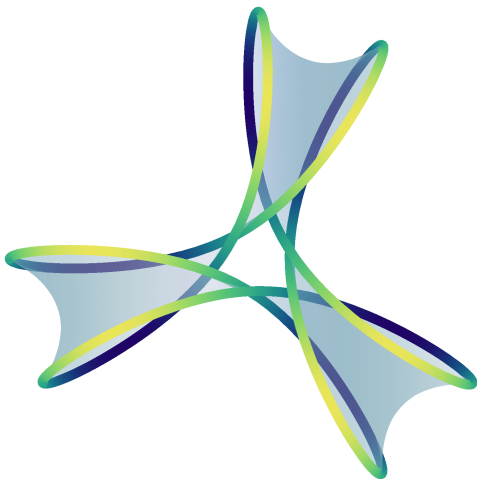


FIGURE: Minimal Surface Spanned by Two $G(3, 1)$.

Critical Annulus ($c_o = 0$ and $b = 0$)

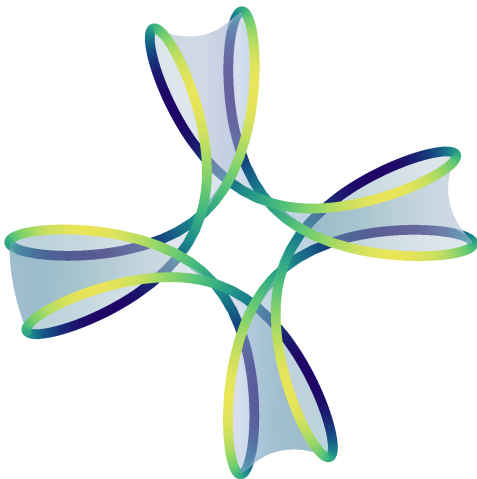


FIGURE: Minimal Surface Spanned by Two $G(4,1)$.

Critical Annulus ($c_o = 0$ and $b = 0$)

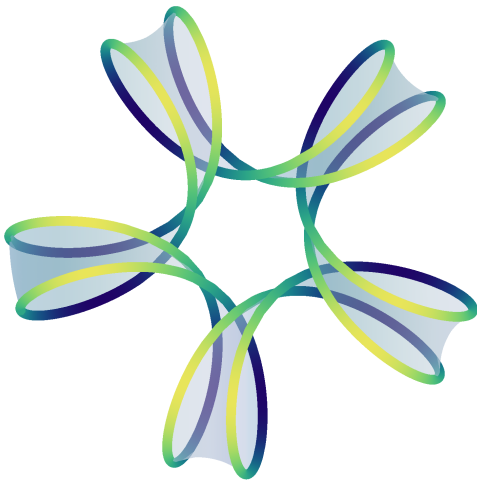


FIGURE: Minimal Surface Spanned by Two $G(5,1)$.

Critical Annulus ($c_o = 0$ and $b = 0$)

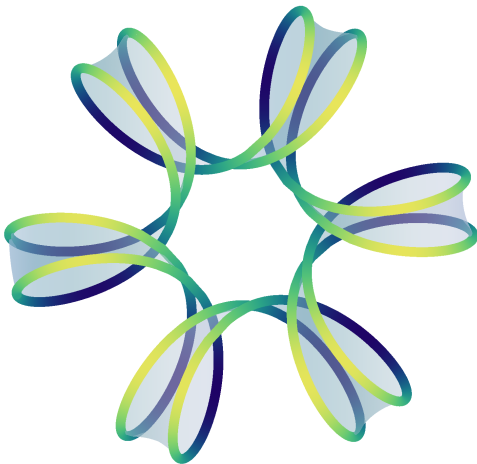


FIGURE: Minimal Surface Spanned by Two $G(6, 1)$.

Critical Annulus ($c_o = 0$ and $b = 0$)

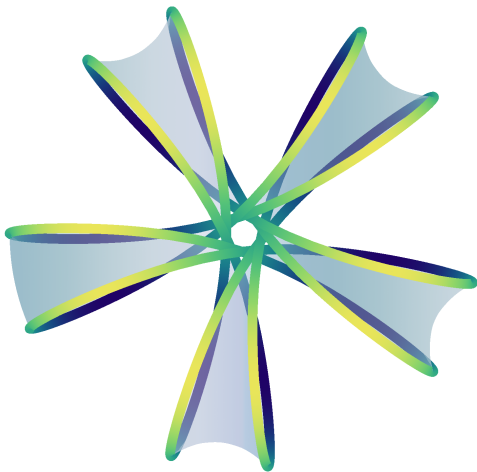


FIGURE: Minimal Surface Spanned by Two $G(5, 2)$.

Results of Topological Annuli

Consider now an **embedding** of a **topological annulus** $X : \Sigma \rightarrow \mathbb{R}^3$ with **CMC** $H = -c_0$ critical for E with $b \neq 0$.

Results of Topological Annuli

Consider now an **embedding** of a **topological annulus** $X : \Sigma \rightarrow \mathbb{R}^3$ with **CMC** $H = -c_0$ critical for E with $b \neq 0$.

- Boundary curves are **simple and closed elastic curves circular at rest**.

Results of Topological Annuli

Consider now an **embedding** of a **topological annulus** $X : \Sigma \rightarrow \mathbb{R}^3$ with **CMC** $H = -c_0$ critical for E with $b \neq 0$.

- Boundary curves are **simple and closed elastic curves circular at rest**.
- Moreover, since $b \neq 0$, $\kappa_n \equiv 0$ holds and boundary curves are **asymptotic curves**.

Results of Topological Annuli

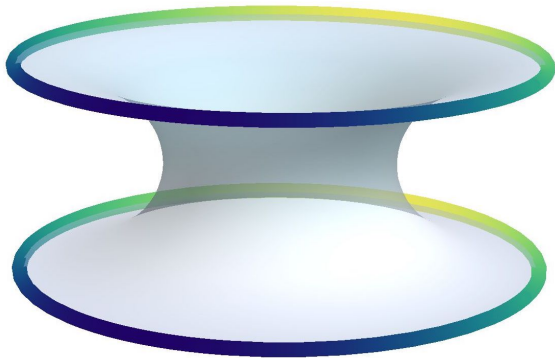
Consider now an **embedding** of a **topological annulus** $X : \Sigma \rightarrow \mathbb{R}^3$ with **CMC** $H = -c_0$ critical for E with $b \neq 0$.

- Boundary curves are **simple and closed elastic curves circular at rest**.
- Moreover, since $b \neq 0$, $\kappa_n \equiv 0$ holds and boundary curves are **asymptotic curves**.
- Local solutions: **Björling's formula**,...

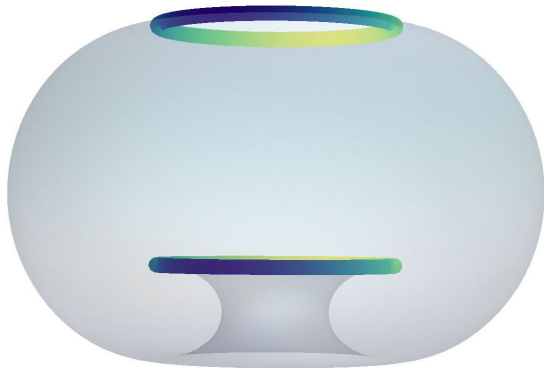
Axially Symmetric

Let $X : \Sigma \rightarrow \mathbb{R}^3$ be a **CMC** $H = -c_0$ **equilibria** for E with $b \neq 0$. If any boundary component is a **circle**, then the surface is **axially symmetric**, i.e. a part of a **Delaunay surface**.

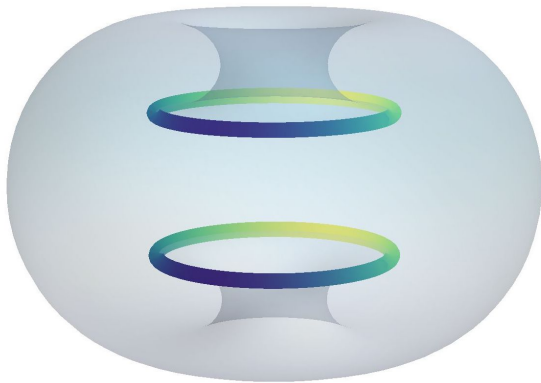
Nodoidal Domains



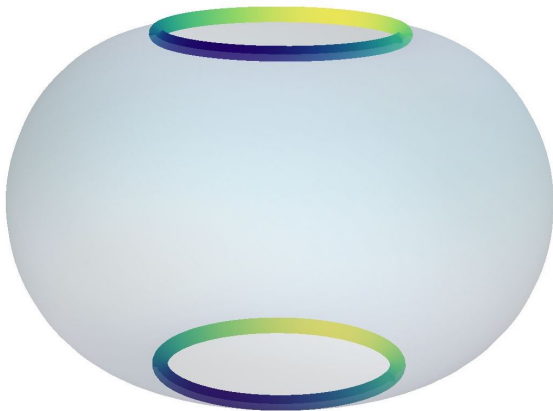
Nodoidal Domains



Nodoidal Domains



Nodoidal Domains



THE END

- B. Palmer and A. Pámpano, [Minimizing Configurations for Elastic Surface Energies with Elastic Boundaries](#), *Journal of Nonlinear Science*, **31-23** (2021).

THE END

- B. Palmer and A. Pámpano, [Minimizing Configurations for Elastic Surface Energies with Elastic Boundaries](#), *Journal of Nonlinear Science*, **31-23** (2021).

Thank You!