

# PICARD–VESSIOT EXTENSIONS WITH SPECIFIED GALOIS GROUP

T. CHINBURG\*, L. JUAN, AND A. R. MAGID

ABSTRACT. Picard-Vessiot extensions are determined by their differential module structure. For a fixed group  $G$  Picard-Vessiot extensions with differential Galois group  $G$  are all isomorphic as  $G$ -modules but not as differential rings. It is then shown that isomorphism classes of Picard-Vessiot extensions with group  $G$  correspond to  $G$ -orbits in a certain finite dimensional vector space with  $G$ -action.

## 1. INTRODUCTION

The normal basis theorem states that if  $K/L$  is a finite Galois extension of fields with group  $\Gamma$ , then  $K$  is isomorphic to the group ring  $L[\Gamma]$  as an  $L[\Gamma]$ -module. In this paper we will consider a counterpart of this result in differential Galois theory. This counterpart leads to considering the problem of recognizing a Picard-Vessiot extension  $E$  of a differential field  $F$  from weaker information than the structure of  $E$  as a differential field. We will first recall from [3] and [2] some basic definitions and then state our main results.

Let  $F$  be a differential field of characteristic 0 having an algebraically closed field of constants  $C$ . A field extension  $E/F$  is a Picard Vessiot extension if  $E$  is differentially generated over  $F$  by a full set of solutions of a monic homogeneous differential equation over  $F$  and if  $E$  has the same field of constants as  $F$  (see [3], [2]). The Picard Vessiot ring  $R$  of  $E/F$  may be described as the ring of elements of  $E$  whose iterated images under the derivation  $D_E$  of  $E$  span a finite dimensional vector space over  $F$ . The fraction field of  $R$  is equal to  $E$ . The differential Galois group  $G$  of  $E/F$  is the affine algebraic group over  $C$  given by the differential automorphisms of  $E$  over  $F$ . Let  $C[G]$  be the affine coordinate ring of  $G$  over  $C$ , and let  $F[G] = F \otimes_C C[G]$ .

Our counterpart of the normal basis Theorem is the following result.

**Theorem 1.** *The Picard Vessiot ring  $R$  is isomorphic to  $F[G]$  as an  $F[G]$ -comodule.*

The normal basis theorem implies that the structure of  $K$  as an  $L[\Gamma]$ -module is not sufficient in general to determine  $K$  as a Galois extension of

---

*Date:* April 16, 2009.

\* Supported by NSF Grant #DMS0801030.

$F$ . Similarly, Theorem 1 shows that the  $F[G]$ -comodule structure of  $R$  does not in general determine  $R$  or  $E$ . It is a natural question to try to minimize, in various ways, the amount of information about  $E$  which is sufficient to determine  $E$ . Our first result along this line is proved in Proposition 1 of §2:

**Theorem 2.** *The structure of  $E$  as a differential  $F$ -module is sufficient to determine  $E$ .*

In general  $E$  has infinite dimension as an  $F$ -vector space. To try to determine  $E$  from a finite amount of linear algebra data over  $F$ , one could simply note that the differential equation over  $F$  which determines  $E$  is specified by finitely many coefficients in  $F$ . More conceptually, the differential equation can be replaced by an associated finite dimensional differential module over  $F$  which is trivialized by  $E$  (see [3]). However, there is no preferred choice for a differential equation giving  $E$  or for an associated differential module. We will consider the following more canonical method. This method depends on choosing a faithful finite dimensional  $G$ -module  $V$  which admits an embedding into  $C[G]$ .

We show in Proposition 2 of §2 that there is an isomorphism  $\alpha : R \rightarrow F[G]$  respecting  $F$  and  $G$ -module structure. Using this isomorphism, we can transport the derivation  $D_R$  of  $R$  to a  $G$ -endomorphism of  $F[G]$  which makes  $F[G]$  into a differential  $F$ -module. Define  $\mathcal{W}$  to be the sum of the images of  $V$  in  $F[G]$  under  $G$ -homomorphisms. We will show in Lemma 5 of §4 that  $\mathcal{W}$  is a finite dimensional  $F$ -vector space. We will also prove that  $\mathcal{W}$  is a rational  $G$ -module which is stable under the above differential structure coming from  $D_R$ , and that this restriction determines  $D_R$ . Let  $\Delta_R$  be the resulting differential structure on  $\mathcal{W}$ . The construction of  $\mathcal{W}$  and  $\Delta_R$  is canonical up to the choice of the  $F$  and  $G$ -module isomorphism  $\alpha : R \rightarrow F[G]$ . Let  $\text{End}_{F,G}(\mathcal{W})$  (resp.  $\text{Aut}_{F,G}(\mathcal{W})$ ) be the group of endomorphisms (resp. automorphisms) of  $\mathcal{W}$  which respect the actions of  $F$  and  $G$  on  $\mathcal{W}$ . As a corollary of Theorem 1 of §4 we will prove the following result:

**Theorem 3.** *Isomorphism classes of Picard-Vessiot extensions  $E$  of  $F$  with differential Galois group  $G$  correspond to the orbits of  $\text{Aut}_{F,G}(\mathcal{W})$  on  $\text{End}_{F,G}(\mathcal{W})$ .*

We note that  $\mathcal{W}$  is a two-sided  $G$ -module. This observation permits the  $F$  and  $G$  endomorphism ring of  $\mathcal{W}$  to be calculated in principle. We include a number of examples in §5.

We retain throughout the notations of this introduction. In addition, we refer to  $F$ -vector spaces with rational  $G$  action trivial on  $F$  as  $F \cdot G$ -modules. Some basic results on  $F \cdot G$ -modules are collected in §3 below. We define an  $F \cdot D$  module to be an  $F$ -vector space with a derivation which (i) is compatible with the derivation of  $F$ , and (ii) for which the derivation iterates of each element form a finite dimensional  $F$ -vector space. The usual group algebra of  $G$  over  $F$  will be denoted  $F\langle G \rangle$ .

2. DIFFERENTIAL MODULES

We begin by showing that the differential  $F$  module structure alone distinguishes Picard–Vessiot extensions.

**Proposition 1.** *Let  $E_i$ ,  $i = 1, 2$  be Picard–Vessiot extensions of  $F$ , and assume that they are isomorphic as differential  $F$  vector spaces. Then they are isomorphic as differential fields. This is equivalent to the Picard–Vessiot rings of the  $E_i$  being isomorphic as differential  $F$  vector spaces.*

*Proof.* We may assume that both  $E_1$  and  $E_2$  are differential subfields of a Picard–Vessiot extension of  $F$ . Let  $B : E_1 \rightarrow E_2$  be an  $F$  linear differential isomorphism. Let  $R_i \subset E_i$  be the set of elements which satisfy monic linear homogeneous differential equations over  $F$ . Suppose  $\alpha \in E_1$  is in  $R_1$  and satisfies the differential equation  $L(\alpha) = 0$  where  $L(X) = X^{(n)} + a_{n-1}X^{(n-1)} + \dots + a_0$  is a monic homogeneous linear differential operator over  $F$ . We may assume that  $L^{-1}(0) \subset E_1$  and  $\dim_C L^{-1}(0) = n$ . For any  $\beta \in L^{-1}(0)$ ,  $0 = B(L(\beta)) = L(B(\beta))$ , so  $B(L^{-1}(0)) = L^{-1}(0)$  and  $\alpha \in L^{-1}(0) = B(L^{-1}(0)) \subset R_2$ . It follows that  $R_1 \subseteq R_2$ . Similar considerations apply to elements of  $R_2$ . We conclude both that  $R_1 = R_2$ , and also that the isomorphism  $B$  carries  $R_1$  to  $R_2$ . Since  $R_i$  is the Picard–Vessiot ring of  $E_i$  and  $E_i$  is the quotient field of  $R_i$ , we have that  $E_1 = E_2$ . Thus the identity is the desired differential field isomorphism between  $E_1$  and  $E_2$ ; note that it does not, in general, coincide with  $B$ . An examination of the proof shows that it suffices to begin with an  $F \cdot D$  isomorphism from  $R_1$  to  $R_2$ .  $\square$

Proposition 1 implies that it is sufficient to consider the  $F \cdot D$  module  $(R, D_R)$ , that is, the  $F$  vector space  $R$  with its designated endomorphism  $D_F$  (which is  $C$ , but not necessarily  $F$ , linear). We now consider the  $F \cdot G$ -module structure of  $R$ .

**Proposition 2.** *Let  $R$  be the Picard–Vessiot ring of a Picard–Vessiot extension  $E$  of  $F$  with differential Galois group  $G$ . Then  $R$  is isomorphic to  $F[G]$  as an  $F \cdot G$  module.*

*Proof.* It is a consequence of Kolchin’s Theorem [2, Theorem 5.12] that there is a finite Galois extension  $F_1$  of  $F$  such that  $F_1 \otimes_F R \cong F_1[G]$  as  $F_1$  and  $G$  modules. Let  $n = [F_1 : F]$ . As  $F$  vector spaces with  $G$  action, the two sides of the above are isomorphic to  $R^{(n)}$  and  $F[G]^{(n)}$ , which means the two direct sums are isomorphic as  $F \cdot G$  modules. This implies that the socles of the direct sums are isomorphic  $F \cdot G$  modules, and then, by counting multiplicities of simple components, that the socles of  $R$  and  $F[G]$  are  $F \cdot G$  isomorphic. Since  $F[G]$  is  $F \cdot G$  injective [1], the isomorphism of the direct sums implies that  $R$  is also an injective  $F \cdot G$  module. Finally, injective  $F \cdot G$  modules with isomorphic socles are isomorphic.  $\square$

3.  $F \cdot G$  MODULES

We recall that  $F[G] = F \otimes_C C[G]$ . We associate  $F$  valued functions on  $G$  to elements of  $F[G]$ : if  $f = \sum a_i \otimes \phi_i$  then for  $g \in G$   $f(g) = \sum \phi_i(g)a_i$ . It is clear that elements of  $F[G]$  are determined by their associated functions. Using this functional representation, the actions of  $G$  on  $F[G]$  are as follows.

The left action:  $\lambda(g)f = g \cdot f$  where  $g \cdot f(x) = f(xg)$ .

The right action:  $\rho(g)f = f \cdot g^{-1}$  where  $f \cdot g(x) = f(gx)$ .

Note that  $\lambda(g)\rho(h) = \rho(h)\lambda(g)$ .

We have the evaluation functionals given by  $ev_g : F[G] \rightarrow F$  by  $ev_g(f) = f(g)$ . Note that 0 is the only element of  $F[G]$  in the kernel of all the evaluation functionals.

If  $X \subset F[G]$  is a left (or right)  $F \cdot G$  submodule, we use  $\lambda$  (or  $\rho$ ) to denote the  $G$  action on  $X$ , and we use  $ev_g : X \rightarrow F$  for the evaluation restrictions. In  $\text{End}_F(X)$  we let  $\Lambda = \sum_g F\lambda(g)$  (or  $P = \sum_g F\rho(g)$ ). If  $X$  is both a left and right  $F \cdot G$  submodule then  $\Lambda$  and  $P$  commute.

Suppose  $X$  is finite dimensional. Then the fact that intersections of the kernels of the evaluation functionals is trivial means that they span  $\text{Hom}_F(X, F)$ .

That  $F[G]$  is  $F \cdot G$  injective, and that the multiplicities of the simple components of its socle are finite follow from the following lemma, whose proof and use are the same as the familiar case where  $F = C$  [1, Prop. 1.4, p. 9]:

**Lemma 1.** *Let  $W$  be a finite dimensional  $F \cdot G$  module. Let  $\gamma_W : W \rightarrow W \otimes_F F[G]$  be the associated  $F[G]$  comodule structure, and let  $ev_e : F[G] \rightarrow F$  be evaluation at the identity. Then there is an isomorphism as (right)  $G$  modules and  $F$  vector spaces*

$$\text{Hom}_{F \cdot G}(W, F[G]) \rightarrow \text{Hom}_F(W, F)$$

given by  $\Phi \mapsto ev_e \circ \Phi$  and  $f \mapsto (1 \otimes f) \circ \gamma_W$ .

This ‘‘duality’’ lemma also implies the following structural result about endomorphism rings:

**Lemma 2.** *Let  $W \subset F[G]$  be a finite dimensional  $F \cdot G$  submodule which is also a right  $G$  submodule, and suppose every  $F \cdot G$  morphism  $W \rightarrow F[G]$  has image in  $W$ . Then*

$$\text{End}_{F \cdot G}(W) = P.$$

*Proof.* By assumption we have

$$\text{End}_{F \cdot G}(W) = \text{Hom}_{F \cdot G}(W, F[G])$$

and Lemma 1 says that

$$\text{Hom}_{F \cdot G}(W, F[G]) \rightarrow \text{Hom}_F(W, F)$$

given by  $\Phi \mapsto ev_e \circ \Phi$  is an isomorphism. For  $g \in G$  we have that  $\rho(g^{-1})$  is an  $F \cdot G$  endomorphism of  $W$ , and one checks that  $ev_e \circ \rho(g^{-1}) = ev_g$ . Since  $W$

is finite dimensional,  $\text{Hom}_F(W, F)$  is spanned by evaluations, which means that the subring  $P$  of the  $F \cdot G$  endomorphism ring maps onto  $\text{Hom}_F(W, F)$  and hence coincides with the endomorphism ring.  $\square$

Another way to state the result of Lemma 2 is that the ring homomorphism

$$F\langle G \rangle \rightarrow \text{End}_{F \cdot G}(W)$$

induced from

$$\rho : G \rightarrow \text{End}_{F \cdot G}(W)$$

is surjective.

This is not necessarily the case for automorphisms. However, we have the following:

**Lemma 3.** *Let  $\mathcal{W}$  be an  $F \cdot G$  submodule of  $F[G]$ . The morphisms*

$$\text{End}_{F \cdot G}(F[G]) \rightarrow \text{End}_{F \cdot G}(\mathcal{W})$$

and

$$\text{Aut}_{F \cdot G}(F[G]) \rightarrow \text{Aut}_{F \cdot G}(\mathcal{W})$$

induced from restriction are surjective.

*Proof.* By construction,  $\mathcal{W}$  is an  $F \cdot G$  submodule of the injective  $F \cdot G$  module  $F[G]$ . This means that  $F[G]$  contains an injective hull  $I$  of  $\mathcal{W}$ . Then  $I$  is an essential extension of  $\mathcal{W}$ , which in our context means that they have the same socle, and  $I$ , being injective is also a direct summand of  $F[G]$ . Let  $B$  be an automorphism of  $\mathcal{W}$ . Composing  $B$  with the inclusion of  $\mathcal{W}$  in  $I$  is a monomorphism. Since  $I$  is injective, the inclusion of  $\mathcal{W}$  into  $I$  factors through this monomorphism, so that  $B$  lifts to an endomorphism  $B_0$  of  $I$ . The same argument, in the case that  $B$  is only an endomorphism, also proves the first assertion of the lemma. The kernel of  $B_0$ , if non-trivial, contains a simple submodule, which belongs to the socle of  $I$  and therefore the socle of  $\mathcal{W}$ . This simple module then is contained in  $\mathcal{W}$ , and hence in the kernel of  $B$ . That kernel is trivial, and thus so is the kernel of  $B_0$ . The image  $I_0 = B_0(I)$  is then an injective submodule of  $I$  (being isomorphic to  $I$ ) and contains  $B(\mathcal{W}) = \mathcal{W}$ . Again, because  $I$  is an essential extension of  $\mathcal{W}$  this implies that  $I = I_0$  and  $B_0$  is onto, and hence an automorphism of  $I_0$ . Now take  $B_1$  to be an automorphism of  $F[G]$  which is  $B_0$  on  $I$  and the identity on a complementary direct summand.  $\square$

There is a derivation of  $F[G]$  coming from  $F$ , given by  $D_F \otimes 1$ . We denote this by  $\partial$  in this section. Note that  $\partial$  is a  $G$  morphism. Suppose that  $X$  is a finite dimensional  $F \cdot G$  submodule of  $F[G]$  with  $\partial(X) \subset X$  and that  $T : X \rightarrow X$  is an  $F \cdot G$  endomorphism. We define:

$$T' = \partial \circ T - T \circ \partial.$$

It is straightforward to check that  $T'$  is also an  $F \cdot G$  endomorphism. If  $T = 1 \otimes \tau$  for  $\tau$  a  $G$  endomorphism of  $C[G]$  then  $T$  commutes with  $\partial$  and

$T' = 0$ . It follows that

$$\text{if } T = \sum f_i \rho(g_i) \text{ then } T' = \sum f'_i \rho(g_i).$$

which we call the *differentiation of coefficients formula*. In these notations, we also have the following conjugation formula:

**Lemma 4.** *Let  $X$  be a finite dimensional  $F \cdot G$  submodule of  $F[G]$  with  $\partial(X) \subset X$  and  $B : X \rightarrow X$  an  $F \cdot G$  automorphism. Then*

$$B^{-1} \partial B = \partial + B^{-1} B'.$$

*More generally, if  $(X, \Delta)$  is an  $F \cdot D$  structure on  $X$  and  $T = \Delta - \partial$  then  $B^{-1} \Delta B = \partial + B^{-1} B' + B^{-1} T B$ .*

*Proof.* Let  $S = B^{-1} \partial B$ , so  $BS = \partial \circ B$ . By definition,  $\partial \circ B = B' + B \circ \partial$ , so  $S = B^{-1}(B' + B \circ \partial) = B^{-1} B' + \partial$ , as desired. The second formula is immediate from the first.  $\square$

#### 4. ISOMORPHISM CLASSES OF PICARD-VESSIOT EXTENSIONS

Let  $V$  be a faithful finite dimensional  $G$ -module over  $C$ . We will need the following definition.

**Definition 1.** *Let  $Y$  be any rational  $G$  module over  $C$ . Then  $\mathcal{W}(Y) = \sum \{\phi(V) \mid \phi \in \text{Hom}_G(V, Y)\}$ . For  $Y = F[G]$ , we let  $\mathcal{W}$  denote  $\mathcal{W}(F[G])$ .*

If  $f : Y \rightarrow Z$  is a  $G$  module morphism, then  $f(\mathcal{W}(Y)) \subseteq \mathcal{W}(Z)$ , and in particular  $\mathcal{W}(Y)$  is stable under  $G$  endomorphisms of  $Y$ . It is clear that  $\mathcal{W}(Y)$  is a  $G$  submodule of  $Y$ , and that  $\mathcal{W}(Y)$  is the image of

$$\text{Hom}_G(V, Y) \otimes_C V \rightarrow Y$$

by

$$\phi \otimes y \mapsto \phi(y).$$

In the special case  $Y = F[G]$  and  $W = F \otimes_C V$  we have

$$\text{Hom}_G(V, F[G]) = \text{Hom}_{F \cdot G}(W, F[G])$$

and

$$\otimes_C V = \otimes_F W$$

so that

$$\text{Hom}_G(V, F[G]) \otimes_C V = \text{Hom}_{F \cdot G}(W, F[G]) \otimes_F W$$

from which it follows that  $\mathcal{W}(F[G])$  is the image of

$$\text{Hom}_{F \cdot G}(W, F[G]) \otimes_F W \rightarrow F[G]$$

by

$$\psi \otimes w \mapsto \psi(w).$$

Since  $\text{Hom}_{F \cdot G}(W, F[G]) = \text{Hom}_F(W, F)$  is a finite dimensional  $F$  module, this shows that  $\mathcal{W}(F[G])$  is a finite dimensional  $F \cdot G$  module, and that  $\mathcal{W}(F[G]) = \sum \{\psi(W) \mid \psi \in \text{Hom}_{F \cdot G}(W, F[G])\}$ . Since  $R$  is  $F \cdot G$  isomorphic to  $F[G]$ , we see that  $\mathcal{W}(R)$  is also a finite dimensional  $F \cdot G$  submodule of  $R$ . Moreover, the restriction of  $D_E$  to  $\mathcal{W}(R)$  determines  $D_E$ , as we now note:

**Lemma 5.**  $\mathcal{W}(R)$  is finite dimensional over  $F$  and an  $F \cdot G$  and  $F \cdot D$  submodule of  $R$ . The  $F$  subalgebra of  $R$  generated by  $\mathcal{W}(R)$  has quotient field  $E$ . In particular, the restriction of  $D_E$  to  $\mathcal{W}(R)$  determines  $D_E$ .

*Proof.*  $D$  is a  $G$  endomorphism of  $R$ , and hence preserves  $\mathcal{W}(R)$ . This makes  $\mathcal{W}(R)$  an  $F \cdot D$  submodule of  $R$ , and hence the subalgebra generated over  $F$  by  $\mathcal{W}(R)$  is a differential subalgebra of  $R$ , and its quotient field  $K$  is then an intermediate differential field of the Picard–Vessiot extension  $E \supset F$ , so of the form  $E^H$  for a subgroup  $H$  of  $G$ . By assumption, we have an embedding  $V \rightarrow C[G]$ , hence  $V \rightarrow F[G]$ , and therefore, by Proposition 2, an embedding  $\phi : V \rightarrow R$ . Then  $\phi(V)$  is a faithful  $G$  submodule of  $\mathcal{W}(R)$  and hence of  $K$ . Since no element of  $G$  other than  $e$  acts trivially on  $K$ , we have  $H$  trivial and  $K = E$ .  $\square$

We are now ready to construct the invariant. We recall from Proposition 1 that if we have two Picard–Vessiot rings  $R_i$ ,  $i = 1, 2$  with corresponding derivations  $D_i$ , then they are isomorphic as Picard–Vessiot rings if and only if there is an  $F$  vector space isomorphism  $B : R_1 \rightarrow R_2$  such that  $D_2 = BD_1B^{-1}$ . If there is such a  $B$ , then, there will be one which is an  $F \cdot G$  isomorphism.

We can select an  $F \cdot G$  isomorphism  $A_i : R_i \rightarrow F[G]$ , as per Proposition 2 and consider the  $G$  endomorphisms  $A_iDA_i^{-1}$  of  $F[G]$ .

We have  $A_i(\mathcal{W}(R_i)) = \mathcal{W}$ , and hence, by Lemma 5, that  $\Delta_i = A_iDA_i^{-1}$  is determined by its restriction to  $\mathcal{W}$ , which we denote by the same symbol. As previously noted, the structures  $\mathcal{M}_i = (\mathcal{W}, \Delta_i)$  are  $F \cdot D$  modules. If the  $R_i$  are isomorphic, then clearly so are the  $\mathcal{M}_i$ , where by the latter we mean that there is an  $F \cdot G$  module automorphism of  $\mathcal{W}$  carrying  $\Delta_1$  to  $\Delta_2$ . We record this, and its converse in the following result:

**Theorem 1.** *Let  $E_i$ ,  $i = 1, 2$  be Picard–Vessiot extensions of  $F$  with group  $G$ . Let  $R_i$  be the Picard–Vessiot ring of  $E_i$  and  $A_i : R_i \rightarrow F[G]$  an  $F \cdot G$  isomorphism. Then the  $E_i$  are isomorphic if and only if there is an  $F \cdot G$  automorphism  $B$  of  $\mathcal{W}$  such that*

$$BA_1D_{R_1}A_1^{-1}B^{-1}|_{\mathcal{W}} = A_2D_{R_2}A_2^{-1}|_{\mathcal{W}}$$

*Proof.* If the  $E_i$  are isomorphic, then there is a differential  $F \cdot G$  isomorphism  $R_1 \rightarrow R_2$  which produces  $B$ . Conversely, suppose we have  $B$ . If  $B$  is the restriction to  $\mathcal{W}$  of an  $F \cdot G$  automorphism  $B_1$  of  $F[G]$ , then replacing  $A_1$  by  $B_1A_1$  gives an isomorphism of  $R_1$  to  $F[G]$  such that the resulting  $F \cdot D$  module structure on  $\mathcal{W}$  coincides with that for  $R_2$ , so that the  $R_i$  and hence  $E_i$  are isomorphic. So the theorem follows from Lemma 3.  $\square$

Theorem 1 says that an isomorphism class of Picard–Vessiot extensions corresponds to an equivalence class of differential structures on  $\mathcal{W}$ , the equivalence relation coming from conjugation by  $F \cdot G$  automorphisms. We always have the differential structure  $\partial$  on  $\mathcal{W}$  induced from  $D_F \otimes 1$  on  $F[G]$  as in

§3. Then  $(\mathcal{W}, \Delta)$  is an  $F \cdot D$  module if and only if  $\Delta - \partial$  is an  $F \cdot G$  endomorphism of  $\mathcal{W}$ . The action of  $F \cdot G$  automorphisms on differential structures on  $\mathcal{W}$  then translates to the following action on  $F \cdot G$  endomorphisms:

For  $B \in \text{Aut}_{F \cdot G}(\mathcal{W})$  and  $T \in \text{End}_{F \cdot G}(\mathcal{W})$  let  $T^B = BTB^{-1} + B^{-1}B'$ , where  $B' = \partial \circ B - B \circ \partial$ . This defines a right action of automorphisms on endomorphisms, called, for obvious reasons, conjugation plus logarithmic differentiation. Theorem 1 and Lemma 4 imply the following:

**Corollary 1.** *Isomorphism classes of Picard–Vessiot extensions of  $F$  with group  $G$  correspond to  $\text{Aut}_{F \cdot G}(\mathcal{W})$  orbits on  $\text{End}_{F \cdot G}(\mathcal{W})$  under the conjugation plus logarithmic differentiation right action.*

In the next section we will compute some examples of this action and some Picard–Vessiot extensions.

## 5. EXAMPLES

Throughout this section we use  $\text{dlog}(x)$  to denote the logarithmic derivative  $\frac{x'}{x}$ .

We begin with the case of finite  $G$ . Finite Galois extensions  $E \supset F$  are Picard–Vessiot: there is a unique extension of the derivation  $D_F$  to  $E$ , and this turns out to have field of constants  $C$ . Moreover, in this case the Picard–Vessiot ring  $R$  coincides with  $E$ . On the other hand, it is never the case that  $R$  is isomorphic as an  $F$  algebra with  $F[G]$ , as the latter is always just a finite product of copies of  $F$ .

**Example 1.**  $G = \mathbb{Z}/2\mathbb{Z}$

A Picard–Vessiot extension of  $F$  with group  $G$  is then of the form  $E = F(\sqrt{d})$  where  $d$  is a non-square of  $F$ . Assume  $D_F$  is extended to  $E$ . Differentiating the equation  $(\sqrt{d})^2 = d$  shows that  $(\sqrt{d})' = \frac{d'}{2\sqrt{d}}$  which we write as  $\frac{1}{2}\text{dlog}(d)\sqrt{d}$

Let  $e$  denote the identity and  $g$  denote the non-trivial element of  $G$ . The ring  $F[G]$  is all functions  $F^G$ , which is isomorphic as a  $G$  module to the group algebra  $F\langle G \rangle = Fe + Fg$ . (The isomorphism has  $e$  corresponding to the constant function 1 and  $g$  to the function which is 1 on the identity and  $-1$  on  $g$ .) There is a  $G$  isomorphism  $A : E \rightarrow F\langle G \rangle$  by  $1 \mapsto e + g$  and  $\sqrt{d} \mapsto e - g$ . (This is, of course, a special case of the Normal Basis Theorem.) In terms of coordinates,  $A(a + b\sqrt{d}) = (a + b)e + (a - b)g$  while  $A^{-1}(\alpha e + \beta g) = \frac{\alpha + \beta}{2} + \frac{\alpha - \beta}{2}\sqrt{d}$ . Then if  $D = D_E$  we calculate

$$ADA^{-1}(\alpha e + \beta g) = \left(\alpha' + \frac{\alpha - \beta}{2} \frac{1}{2} \text{dlog}(d)\right)e + \left(\beta' - \frac{\alpha - \beta}{2} \frac{1}{2} \text{dlog}(d)\right)g.$$

The derivation  $\partial = D_F \otimes 1$  of  $F\langle G \rangle$  is given by  $\partial(\alpha e + \beta g) = \alpha'e + \beta'g$  (see §3) and hence the determining  $F \cdot G$  module endomorphism  $T = ADA^{-1} - \partial$  is given by

$$T(\alpha e + \beta g) = \frac{1}{2} \text{dlog}(d) \left( \frac{\alpha - \beta}{2} e + \frac{\beta - \alpha}{2} g \right).$$

At this point we specify the faithful  $G$  module  $V$ : we choose the one dimensional module on which  $g$  acts non-trivially, which appears here as the module spanned by  $e - g$ . It then follows that we may choose  $F(e - g)$  for  $\mathcal{W}$ . On  $\mathcal{W}$ ,  $T$  becomes multiplication by  $\frac{1}{2}\mathrm{dlog}(d)$ . Now suppose  $B$  is any  $F \cdot G$  automorphism of  $\mathcal{W}$ . Then  $B$  is multiplication by some nonzero element  $\alpha$  of  $F$ , so that  $B$  commutes with  $T$  and  $B^{-1}B'$  is multiplication by  $\mathrm{dlog}(\alpha)$  and  $B^{-1}TB + B^{-1}B'$  is multiplication by  $\frac{1}{2}\mathrm{dlog}(d) + \mathrm{dlog}(\alpha)$  which can be written  $\frac{1}{2}\mathrm{dlog}(\alpha^2 d)$ .

This is interpreted as follows: Picard–Vessiot extensions of  $F$  with group  $G$  are quadratic extensions of  $F$ . Those isomorphic to  $F(\sqrt{d})$  are of the form  $F(\sqrt{c})$  where  $c$  is equivalent to  $d$  modulo squares, or  $c = \alpha^2 d$  for some  $\alpha \in F$ .

**Example 2.**  $G = \mathbb{G}_m$

For Picard–Vessiot extensions  $E \supset F$  with group  $\mathbb{G}_m$ , we have that  $E = F(y)$  where  $y$  is transcendental over  $F$  and satisfies  $y' = ay$  for some  $a \in F$ . Furthermore, there is no  $\alpha \in F$  with  $\alpha' = a\alpha$ , which says that  $a$  is not a logarithmic derivative in  $F$ . The Picard–Vessiot ring here is  $F[y, y^{-1}]$ , which is isomorphic as an  $F$  algebra and  $G$  module to  $F[\mathbb{G}_m]$ , the isomorphism  $A$  carrying  $y$  to the coordinate  $t$  on  $\mathbb{G}_m$ . For  $V$  we can take the  $C$  module spanned by  $t$ , and then  $\mathcal{W}$  turns out to be  $Ft$ . We compute  $ADA^{-1}$  on  $\mathcal{W}$ :  $\alpha t \mapsto \alpha y \mapsto (\alpha' + a)y \mapsto (\alpha' + a)t$ . So  $T = ADA^{-1} - \partial$  is multiplication by  $a$ . As in Example 1, an  $F \cdot G$  automorphism  $B$  of  $\mathcal{W}$  is multiplication by some non-zero element  $b$  of  $F$ , and so  $B^{-1}TB + B^{-1}B'$  is multiplication by  $a + \mathrm{dlog}(b)$ .

Suppose  $K$  is a Picard–Vessiot extension of  $F$  with group  $\mathbb{G}_m$  which is  $\mathbb{G}_m$  differentially isomorphic to  $C(y)$ . Modeling  $E$  on  $C(y)$  we see that  $E$  is generated by  $z = by$  for some non-zero  $b \in F$ . Then  $z$  satisfies  $z' = (b' + ab)y = (\mathrm{dlog}(b) + a)z$ . Thus the invariant corresponding to  $K$  is  $a + \mathrm{dlog}(b)$ .

**Example 3.**  $G = \mathrm{SL}_2(C)$

As with Example 2, for  $G = \mathrm{SL}_2(C)$  all Picard–Vessiot rings are isomorphic to  $F[\mathrm{SL}_2(C)]$  (see [2, Theorem 5.12] and [4, Proposition 33]). However, in this case the classification of extensions is not available, and so we confine our attention to the specific case  $F = C(x)$ , the field of rational functions with constant coefficients and with  $x' = 1$ , and the Picard–Vessiot extension  $E \supset F$  for the Airy equation  $Y'' - xY$ . Then the Picard–Vessiot ring  $R$  is known to be  $F[y, z, y', z']/(yz' - zy' - 1)$ , where  $y, z$  are solutions of the Airy equation [2, Example 4.29].

In terms of the familiar matrix coordinates we can write

$$F[\mathrm{SL}_2] = F[x_{11}, x_{12}, x_{21}, x_{22}]/(x_{11}x_{22} - x_{12}x_{21} - 1).$$

There is an obvious  $F$  algebra isomorphism  $A : R \rightarrow F[\mathrm{SL}_2]$  by  $y \mapsto x_{11}$ ,  $z \mapsto x_{21}$ ,  $y' \mapsto x_{12}$ , and  $z' \mapsto x_{22}$ .

For  $V$ , we are going to use the  $\mathrm{SL}_2$  module  $C^2$  (column 2-tuples with the usual left matrix multiplication action of  $\mathrm{SL}_2$ ).  $V$  appears in  $R$  as  $Cy + Cz$ , which we will use. In order for  $A$  to be  $\mathrm{SL}_2$  linear, we need to use the *right* action of  $\mathrm{SL}_2$  on  $F[\mathrm{SL}_2]$ : thus if  $X$  is the matrix  $[x_{ij}]$  and  $g \in \mathrm{SL}_2(C)$  is the matrix  $[x_{ij}(g)]$  then  $x_{ij}^g = x_{ij}(gX) = x_{i1}(g)x_{1j} + x_{i2}(g)x_{2j}$ .

One checks then that  $\mathcal{W}$  is 4 dimensional over  $F$ , and hence equals  $\sum Fx_{ij}$ . Then  $T = ADA^{-1}$  is given by  $T(x_{i1}) = x_{i2}$  and  $T(x_{i2}) = xx_{i1}$ .

To determine the class of  $T$ , we need to know about the  $F \cdot G$  automorphisms of  $\mathcal{W}$ . According to Lemma 2, all  $F \cdot G$  endomorphisms of  $\mathcal{W}$  are  $F$  linear combinations of “right” (here left)  $\mathrm{SL}_2$  translations symbolized by  $X \mapsto Xg$ . Every 2 by 2 matrix  $P$  in  $M_2(F)$  can be written as an  $F$  linear combination of matrices in  $\mathrm{SL}_2(C)$ . Thus every  $F \cdot G$  endomorphism  $B$  of  $\mathcal{W}$  can be written as  $X \mapsto XP$  for some  $P$  in  $M_2(F)$ . For example,  $T$  is represented by the matrix  $\begin{bmatrix} 0 & x \\ 1 & 0 \end{bmatrix}$ . An endomorphism  $B$  will be an automorphism if and only if the representing matrix  $P$  is invertible, in which case  $B^{-1}$  will be represented by  $P^{-1}$ . By the derivation of coefficients formula below, we know that  $B'$  is given by the matrix obtained from  $P$  by differentiating entries.

So let  $B$  be an  $F \cdot G$  automorphism of  $\mathcal{W}$  represented by an invertible matrix  $P$  which we write in the form  $\delta Q$  where  $Q$  has determinant 1.

$$\text{Let } Q = \begin{bmatrix} a & b \\ c & d \end{bmatrix}.$$

Then  $B^{-1}TB + B^{-1}B'$  is represented by the matrix

$$\begin{bmatrix} d & -b \\ -c & a \end{bmatrix} \begin{bmatrix} 0 & x \\ 1 & 0 \end{bmatrix} \begin{bmatrix} a & b \\ c & d \end{bmatrix} + \begin{bmatrix} d & -b \\ -c & a \end{bmatrix} \begin{bmatrix} a' & b' \\ c' & d' \end{bmatrix} + \mathrm{dlog}(\delta)$$

#### REFERENCES

- [1] E. Cline, B. Parshall, and L. Scott, Induced modules and affine quotients, *Math. Ann.* **230** (1977), 1–14.
- [2] A. Magid, *Lectures on Differential Galois Theory*, University Lecture Series **7**, American Mathematical Society, Providence RI, 1997 (second printing with corrections).
- [3] M. van der Put, M. F. Singer, *Differential Galois Theory*, Springer-Verlag, 2003.
- [4] J.-P. Serre, *Galois Cohomology*, Springer-Verlag, 1997.

TED CHINBURG, DEPARTMENT OF MATHEMATICS, UNIVERSITY OF PENNSYLVANIA, PHILADELPHIA, PA 19104, U.S.A.

*E-mail address:* [ted@math.upenn.edu](mailto:ted@math.upenn.edu)

LOURDES JUAN, DEPARTMENT OF MATHEMATICS, TEXAS TECH UNIVERSITY, LUBBOCK, TX 79409, U.S.A.

*E-mail address:* [lourdes.juan@ttu.edu](mailto:lourdes.juan@ttu.edu)

ANDY R. MAGID, DEPARTMENT OF MATHEMATICS, UNIVERSITY OF OKLAHOMA, NORMAN, OK 73019

*E-mail address:* [amagid@math.ou.edu](mailto:amagid@math.ou.edu)